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1c961 U.S. PTO

UTILITY PATENT APPLICATION TRANSMITTAL
(Small Entity)*(Only for new nonprovisional applications under 37 CFR 1.53(b))*Docket No.
10722.270Total Pages in this Submission
701c921 U.S. PTO
09/11/118

11/09/00

TO THE ASSISTANT COMMISSIONER FOR PATENTSBox Patent Application
Washington, D.C. 20231

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

Spiral Couplers

and invented by:

Joseph McAndrew Wharton, NJ
Rocco A. De Lillo New Rochelle, NY

If a CONTINUATION APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _____

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Enclosed are:

Application Elements

1. ☒ Filing fee as calculated and transmitted as described below
2. ☒ Specification having 29 pages and including the following:
 - a. ☒ Descriptive Title of the Invention
 - b. ☐ Cross References to Related Applications *(if applicable)*
 - c. ☐ Statement Regarding Federally-sponsored Research/Development *(if applicable)*
 - d. ☐ Reference to Microfiche Appendix *(if applicable)*
 - e. ☒ Background of the Invention
 - f. ☒ Brief Summary of the Invention
 - g. ☒ Brief Description of the Drawings *(if drawings filed)*
 - h. ☒ Detailed Description
 - i. ☒ Claim(s) as Classified Below
 - j. ☒ Abstract of the Disclosure

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10722.270

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70

Application Elements (Continued)

3. ☒ Drawing(s) *(when necessary as prescribed by 35 USC 113)*

a. ☐ Formal b. ☒ Informal Number of Sheets 30

4. ☒ Oath or Declaration

a. ☒ Newly executed *(original or copy)* ☐ Unexecuted

b. ☐ Copy from a prior application (37 CFR 1.63(d)) *(for continuation/divisional application only)*

c. ☒ With Power of Attorney ☐ Without Power of Attorney

d. ☐ DELETION OF INVENTOR(S)

Signed statement attached deleting inventor(s) named in the prior application,
see 37 C.F.R. 1.63(d)(2) and 1.33(b).

5. ☐ Incorporation By Reference *(usable if Box 4b is checked)*

The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

6. ☐ Computer Program in Microfiche

7. ☐ Genetic Sequence Submission *(if applicable, all must be included)*

a. ☐ Paper Copy

b. ☐ Computer Readable Copy

c. ☐ Statement Verifying Identical Paper and Computer Readable Copy

Accompanying Application Parts

8. ☒ Assignment Papers *(cover sheet & documents)*

9. ☐ 37 CFR 3.73(b) Statement *(when there is an assignee)*

10. ☐ English Translation Document *(if applicable)*

11. ☐ Information Disclosure Statement/PTO-1449 ☐ Copies of IDS Citations

12. ☐ Preliminary Amendment

13. ☒ Acknowledgment postcard

14. ☒ Certificate of Mailing

☐ First Class ☒ Express Mail *(Specify Label No.):* EK069164074US

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Accompanying Application Parts (Continued)

15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)

16. ☒ Small Entity Statement(s) - Specify Number of Statements Submitted:

1

17. ☐ Additional Enclosures (please identify below):

Request That Application Not Be Published Pursuant To 35 U.S.C. 122(b)(2)

18. ☐ Pursuant to 35 U.S.C. 122(b)(2), Applicant hereby requests that this patent application not be published pursuant to 35 U.S.C. 122(b)(1). Applicant hereby certifies that the invention disclosed in this application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication of applications 18 months after filing of the application.

Warning

An applicant who makes a request not to publish, but who subsequently files in a foreign country or under a multilateral international agreement specified in 35 U.S.C. 122(b)(2)(B)(i), must notify the Director of such filing not later than 45 days after the date of the filing of such foreign or international application. A failure of the applicant to provide such notice within the prescribed period shall result in the application being regarded as abandoned, unless it is shown to the satisfaction of the Director that the delay in submitting the notice was unintentional.

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Fee Calculation and Transmittal

CLAIMS AS FILED

For	#Filed	#Allowed	#Extra	Rate	Fee	
Total Claims	33	- 20 =	13	x	\$9.00	\$117.00
Indep. Claims	3	- 3 =	0	x	\$40.00	\$0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>						\$0.00
BASIC FEE						\$355.00
OTHER FEE (specify purpose)						\$0.00
TOTAL FILING FEE						\$472.00

☐ A check in the amount of _____ to cover the filing fee is enclosed.

☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. _____ as described below. A duplicate copy of this sheet is enclosed.

03-1240

☐ Charge the amount of \$472.00 as filing fee.

☒ Credit any overpayment.

☒ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.

☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

Dated: November 9, 2000

Francis Montgomery
Signature

CC:

Applicants : Joseph McAndrew
Rocco A. DeLillo

Attorney Docket No. : 10722.270

Serial No. : TO BE ASSIGNED

Filed : Herewith

For : Spiral Couplers

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY
STATUS (37 C.F.R. 1.9(f) and 1.27) SMALL BUSINESS CONCERN

I hereby declare that I am:

[x] an official of the small business concerns
empowered to act on behalf of each concern
identified below.

NAME OF EACH CONCERN : Merrimac Industries, Inc.

ADDRESSES OF EACH CONCERN : 41 Fairfield Place
West Caldwell, NJ 07006

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 C.F.R. 121.3-18, and reproduced in 37 C.F.R. 1.9(d), for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the above-referenced invention and described in

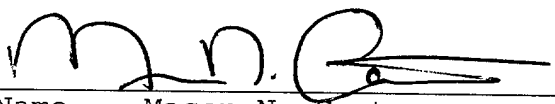
[x] the specification filed herewith.

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 C.F.R. 1.9(d) or by any concern which would not qualify as a nonprofit organization under 37 C.F.R. 1.9(e).

I acknowledge the duty to file in the above-referenced application, or in the patent issuing therefrom, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as small entity is no longer appropriate. (37 C.F.R. 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

MERRIMAC INDUSTRIES, INC.

By: 
Name: Mason N. Carter
Title: President and Chief
Executive Officer

Date: October 17, 2000

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)Applicant(s): **McAndrew et al.**

Docket No.

10722.270

Serial No.

tbd

Filing Date

November 9, 2000

Examiner

tbd

Group Art S

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Invention: **Spiral Couplers**

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09/11/18
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I hereby certify that the following correspondence:

Utility Patent Application*(Identify type of correspondence)*

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an
Box Patent Application
envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on

November 9, 2000
(Date)

Francis G. Montgomery*(Typed or Printed Name of Person Mailing Correspondence)*

Francis Montgomery

*(Signature of Person Mailing Correspondence)***EK069164074US***("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**

PATENT APPLICATION

Title of the Invention

Spiral Couplers

5 Field of the Invention

This invention relates to microwave couplers. More particularly, this invention discloses the topology of couplers that typically operate at microwave frequencies and utilize spiral-like configurations to achieve high density
10 and low volume.

Background of the Invention

Over the decades, wireless communication systems have become more and more technologically advanced, with
15 performance increasing in terms of smaller size, operation at higher frequencies and the accompanying increase in bandwidth, lower power consumption for a given power output, and robustness, among other factors. The trend toward better communication systems puts ever-greater demands on
20 the manufacturers of these systems.

Today, the demands of satellite, military, and other cutting-edge digital communication systems are being met with microwave technology, which typically operates at frequencies from approximately 500 MHz to approximately 60
25 GHz or higher. Many of these systems use couplers, such as directional couplers, in their microwave circuitry.

Traditional couplers, especially those that operate at lower frequencies, typically require long

packaging since coupling between lines is often required over a long distance.

Popular technologies for microwave technologies include low temperature co-fired ceramic (LTCC), ceramic/polyamide (CP), epoxy fiberglass (FR4), fluoropolymer composites (PTFE), and mixed dielectric (MDk, a combination of FR4 and PTFE). Each technology has its strengths, but no current technology addresses all of the challenges of designing and manufacturing microwave circuits.

For example, multilayer printed circuit boards using FR4, PTFE, or MDk technologies are often used to route signals to components that are mounted on the surface by way of soldered connections of conductive polymers. For these circuits, resistors can be screen-printed or etched, and may be buried. These technologies can form multifunction modules (MCM) which carry monolithic microwave integrated circuits (MMICs) and can be mounted on a motherboard.

Although FR4 has low costs associated with it and is easy to machine, it is typically not suited for microwave frequencies, due to a high loss tangent and a high correlation between the material's dielectric constant and temperature. There is also a tendency to have coefficient of thermal expansion (CTE) differentials that cause mismatches in an assembly. Even though recent developments in FR4 boards have improved electrical properties, the thermoset films used to bond the layers may limit the types of via hole connections between layers.

Another popular technology is CP, which involves the application of very thin layers of polyamide dielectric and gold metalization onto a ceramic bottom layer containing MMICs. This technology may produce circuitry an order of
5 magnitude smaller than FR4, PTFE, or MDk, and usually works quite well at high microwave frequencies. Semiconductors may be covered with a layer of polyamide. However, design cycles are usually relatively long and costly. Also, CTE differentials often cause mismatches with some mating
10 assemblies.

Finally, LTCC technology, which forms multilayer structures by combining layers of ceramic and gold metalization, also works well at high microwave frequencies. However, as with CP technology, design cycles are usually
15 relatively long and costly, and CTE differentials often cause mismatches with some mating assemblies.

Advances have been made in reducing the size of LTCC couplers and FR4 couplers, by using strip-line spiral-like configurations. Examples of spiral-like configurations
20 for couplers using various technologies may be found in U.S. Patent Nos. 3,999,150 to Caragliano et al., 5,689,217 to Gu et al., and 5,841,328 to Hayashi, incorporated herein by reference. However, using spiral-like configurations for couplers based on these technologies have certain
25 limitations, as described below.

Hard ceramic materials may provide dielectric constants higher than approximately 10.2, but components utilizing these materials cannot be miniaturized in a stand-

alone multilayer realization. For example, bond wire interconnects must be used for the realization of microstrip circuitry, increasing the overall size of the resulting microwave devices. Other ceramic materials have limited dielectric constants, typically approximately 2 to 4, which prevent close placement of metalized structures and tend to be unreliable for small, tight-fitting components operating at microwave frequencies. Additionally, ceramic devices operating at microwave frequencies may be sensitive to manufacturing limitations and affect yields. LTCC Green Tape materials tend to shrink during processing, causing mismatches preventing manufacturers from making smaller coupling lines and placing coupling lines too closely lest they lose their spacing due to shifting during processing. For these reasons, spiral-like configurations of couplers cannot be too compact; the benefits of using spirals are limited.

FR4 materials have other disadvantages. For example, FR4 materials have a limited range of dielectric constants, typically approximately 4.3 to 5.0, preventing manufacturers from placing metalized lines too compactly. Manufacturers utilizing this material also cannot avail themselves of the advantage of fusion bonding. Additionally, FR4 materials are limited in the tolerance of copper cladding that they can sustain - typically 1.4 mils is the minimum thickness, so the dimensional tolerances are limited. As with ceramics, spiral-like configurations of couplers cannot be too compact, and the benefits of using

spirals are limited for FR4. MDk materials also have similar disadvantages to FR4.

PTFE composite is a better technology than FR4, ceramics, and MDk for spiral-like couplers. Fluoropolymer composites having glass and ceramic often have exceptional thermal stability. They also allow copper cladding thickness below approximately 1.4 mils, which permits tighter control of etching tolerances. Additionally, these materials have a broad range of dielectric constants - typically approximately 2.2 to 10.2. Also, they can handle more power than most other material. All these features allow spiral-like couplers to be built much more compactly on PTFE than is possible using other types of material. Furthermore, complex microwave circuits can be fabricated using PTFE technology and the application of fusion bonding allows homogeneous multilayer assemblies to be formed.

Summary of the Invention

The present invention relates to the manufacture of spiral-like couplers using PTFE as a base material. Coupling lines are wound in spiral-like shapes, which can be rectangular, oval, circular, or other shape that provides a compact structure in nature. Couplers can consist of two, three, or more coupling lines, depending on the application and desired coupling. Coupling lines can be co-planar, taking up only one layer of metalization between two layers of dielectric material, or they can be stacked in two or

more layers, depending upon the number of lines being utilized.

It is an object of this invention to provide spiral-like couplers that utilize PTFE technology.

5 It is another object of this invention to provide spiral-like couplers that have smaller cross sectional dimensions than traditional couplers.

It is another object of this invention to provide spiral-like couplers that have improved electrical
10 characteristics.

It is another object of this invention to provide spiral-like couplers that maximize space utilization along the Z-axis.

It is another object of this invention to provide
15 spiral-like couplers that maximize space utilization in three dimensions.

It is another object of this invention to provide spiral-like couplers that can be fusion bonded.

20 **Brief Description of the Drawings**

Fig. 1 is the top view of an oval-shaped spiral-like coupler having three coupling lines in one plane.

Fig. 2a is a side view of an oval-shaped spiral-like coupler having three coupling lines in three planes.

25 Fig. 2b is an exploded perspective view of the oval-shaped spiral-like coupler shown in Fig. 2a.

Fig. 3 is a perspective view of an example of a spiral coupler package.

Fig. 4 is a perspective view of the spiral coupler package of Fig. 3 mounted on a board.

Fig. 5a is a top view of the spiral coupler package of Fig. 3.

5 Fig. 5b is a bottom view of the spiral coupler package of Fig. 3.

Fig. 5c is a side view of the spiral coupler package of Fig. 3.

Fig. 6 is a perspective view of the metalization
10 of the spiral coupler package of Fig. 3.

Fig. 7 is a rotated view of the metalization of Fig. 6.

Fig. 8 is another rotated view of the metalization of Fig. 6.

15 Fig. 9 is the top view of the placement of via holes and metal lines to contact pads for the circuit in the spiral coupler package of Fig. 3.

Fig. 10 is another top view of the placement of via holes and metal lines to contact pads for the circuit in
20 the spiral coupler package of Fig. 3.

Fig. 11 is a superimposed view of a spiral-like coupler, via holes and metal lines to contact pads for the circuit in the spiral coupler package of Fig. 3.

Fig. 12 is a plot of typical return loss
25 characteristics for a preferred embodiment.

Fig. 13 is a plot of typical transmission amplitude balance characteristics for a preferred embodiment.

Fig. 14 is a plot of typical transmission phase balance characteristics for a preferred embodiment.

Fig. 15 is a plot of typical outer transmission characteristics for a preferred embodiment.

5 Fig. 16 is a plot of typical inner transmission characteristics for a preferred embodiment.

Fig. 17 is a plot of typical isolation characteristics for a preferred embodiment.

Fig. 18 is a schematic diagram showing an overview
10 of the layers comprising the spiral coupler package of Fig. 3.

Fig. 19a is a top view of the first layer of the spiral coupler package of Fig. 3.

Fig. 19b is a bottom view of the first layer of
15 the spiral coupler package of Fig. 3.

Fig. 19c is a side view of the first layer of the spiral coupler package of Fig. 3.

Fig. 20a is a top view of the second layer of the spiral coupler package of Fig. 3.

20 Fig. 20b is a bottom view of the second layer of the spiral coupler package of Fig. 3.

Fig. 20c is a side view of the second layer of the spiral coupler package of Fig. 3.

Fig. 21a is a top view of the third layer of the
25 spiral coupler package of Fig. 3.

Fig. 21b is a bottom view of the third layer of the spiral coupler package of Fig. 3.

Fig. 21c is a side view of the layer of third the spiral coupler package of Fig. 3.

Fig. 22a is a top view of the fourth layer of the spiral coupler package of Fig. 3.

5 Fig. 22b is a bottom view of the fourth layer of the spiral coupler package of Fig. 3.

Fig. 22c is a side view of the fourth layer of the spiral coupler package of Fig. 3.

Fig. 23 is a substrate panel with alignment holes.

10 Fig. 24 is a substrate panel with alignment holes and holes for vias.

Fig. 25 is another substrate panel with alignment holes and holes for vias.

15 Fig. 26a is the top view of the substrate panel of Fig. 24 with a pattern etched out of copper.

Fig. 26b is the bottom view of the substrate panel of Fig. 24 with a pattern etched out of copper.

Fig. 27a is the top view of the substrate panel of Fig. 25 with a pattern etched out of copper.

20 Fig. 27b is the bottom view of the substrate panel of Fig. 25 with a pattern etched out of copper.

Fig. 28 is the top view of an assembly of four fusion-bonded panels with drilled holes.

25 Fig. 29 shows a pattern etched out of copper on the top and bottom of the assembly of Fig. 28.

Fig. 30 is the top view of an array of the spiral coupler package of Fig. 3.

Detailed Description of the Invention

Three Coupling Line Configurations

Referring to Fig. 1, a spiral-like coupler is
5 shown. Coupling lines 10, 20, 30 are wound in a
configuration to provide coupling among three pathways for
microwave signals. In a preferred embodiment, coupling
lines 10, 20, 30 have oval configurations. In alternative
10 preferred embodiments, rectangular shapes and round shapes
may be used. In other alternative embodiments, the shape of
the coupler may depend on space considerations. For
example, it is possible for a microwave circuit having
several components to be configured most efficiently by
utilizing a spiral-like coupler that is substantially L-
15 shaped or U-shaped, by way of example only.

Coupling line 10 is connected to other parts of
the circuit through via holes 15, 16 which are preferably
situated at the ends of coupling line 10. Similarly, via
holes 25, 26 provide connections for coupling line 20 and
20 via holes 35, 36 provide connections for coupling line 30.

Although the coupler shown in Fig. 1 has three
coupling lines, it is obvious to those of ordinary skill in
the art of coupling lines that one can use spiral-like
configurations for couplers having more than three coupling
25 lines, or only two coupling lines.

Referring to Fig. 2a and 2b, a spiral-like coupler
having coupling lines distributed along the Z-axis (i.e.,
existing on different levels) is shown. Coupling lines 110,

120, 130 are wound in a configuration to provide coupling among three pathways for microwave signals. In a preferred embodiment, coupling lines 110, 120, 130 have oval configurations and are of the same size and shape. In
5 alternative preferred embodiments, rectangular shapes and round shapes may be used. In other alternative embodiments, the shape of the coupler may depend on space considerations.

Although the coupler shown in Figs. 2a and 2b has three coupling lines, it is obvious to those of ordinary
10 skill in the art of coupling lines that one can use spiral-like configurations for couplers having more than three coupling lines, or only two coupling lines.

Example of a Preferred Embodiment of a Spiral Coupler

Referring to Fig. 3, an example of a spiral
15 coupler package 300 is shown. Spiral coupler package 300 also has four contact pads 310, which are side holes in a preferred embodiment, for mounting, and three ground pads 320. In a preferred embodiment, contact pads 310 are soldered or wire-bound to metal pins, which may be gold
20 plated, for connection to other circuitry. In an alternative preferred embodiment, spiral coupler package 300 is mounted on test fixture or board 400, as shown in Fig. 4. Board 400 has metalized lines 410 for connection to other circuitry.

25 Figs. 5a and 5b show top and bottom views of spiral coupler package 300, respectively. Fig. 5c shows a side view of this embodiment, wherein spiral coupler package 300 consists of dielectric substrate layers 1, 2, 3, 4,

which are approximately 0.175 inches square. Layers 1, 2 are approximately 0.025 inches thick and have dielectric constants of approximately 10.2. An example of material that can be used for layers 1, 2 is RO-3010 high frequency circuit material manufactured by Rogers Corp., located in Chandler, Arizona. Layers 3, 4 are approximately 0.005 inches thick and have dielectric constants of approximately 3.0. An example of material that can be used for layers 3, 4 is RO-3003 high frequency circuit material, also available from Rogers Corp. Metalization, preferably ½ ounce copper, is disposed on layers 1, 2, 3, 4 to provide some of the features of spiral coupler package 300. For example, the top of layer 4 is metalized with the pattern shown in Fig. 5a to define groundplane 504. Similarly, the bottom of layer 1 is metalized as shown in Fig. 5b to define groundplane 501. A third groundplane 502 disposed between layer 2 and layer 3 can be seen in Fig. 6, which shows only the metalization of spiral coupler package 300 without the supporting dielectric layers.

20 Metalization layer 602 is disposed between layer 1 and layer 2, while metalization layer 603 is disposed between layer 3 and layer 4. In the preferred embodiment shown in Fig. 6, metalization layer 602 provides spiral-like shapes which are connected with via holes 620 to metalization layer 603, which provides pathways to contact pads 310. Figs. 7, 8 show different views of the metalization shown in Fig. 6.

Fig. 9 shows the placement of via holes 620, which are connected to contact pads 901, 902, 903, 904 by metal lines 911, 912, 913, 914 (which are part of metalization layer 603) respectively. The widths and lengths of metal lines 911, 912, 913, 914 affect the performance of the coupler. In a preferred embodiment shown in Fig. 10, metal lines 911, 912, 913, 914 are 0.011 inches wide and the average length of metal lines 911, 912, 914 is approximately 0.065 inches, while the average length of metal line 913 is 0.1395 inches.

Advantageously, groundplane 502 isolates metal lines 911, 912, 913, 914 from metalization layer 602. Without groundplane 502, it is apparent that signal cross-talk would occur between metalization layer 602 and metal lines 911, 912, 913, 914, which are shown superimposed in Fig. 11.

Referring to Figs. 12 - 17, typical electrical performance characteristics of the embodiment shown in Figs. 3 - 11 and described above are shown for a frequency range of 1.0 GHz to 3.0 GHz. For the purposes of the performance curves the ports are as follows: P1 is at contact pad 901; P2 is at contact pad 902; P3 is at contact pad 903; and P4 is at contact pad 904. Fig. 12 shows the return loss, in decibels, for P1, P2, P3, and P4. Fig. 13 shows the amplitude balance, or difference between the signal from P2 to P1 and the signal from P4 to P1, in decibels. Fig. 14 shows the phase balance, or phase difference between the signal from P2 to P1 and the signal from P4 to P1, in

degrees. Fig. 15 shows the outer transmission, in decibels, between P4 and P1 and between P2 and P1. Fig. 16 shows the inner transmission, in decibels, between P2 and P3 and between P4 and P3. Fig. 17 shows the isolation, in
5 decibels, between P4 and P2 and between P3 and P1.

A Preferred Method of Manufacturing Spiral Couplers

In a preferred embodiment a spiral coupler is fabricated in a multilayer structure comprising soft
10 substrate PTFE laminates. A process for constructing such a multilayer structure is disclosed by U.S. Patent No. 6,099,677 to Logothetis et al., entitled "Method of Making Microwave, Multifunction Modules Using Fluoropolymer Composite Substrates", incorporated herein by reference.

15 Spiral couplers that are manufactured using fusion bonding technology advantageously avoid utilizing bonding films, which typically have low dielectric constants and hamper the degree to which spiral-like couplers can be miniaturized. The mismatch in dielectric constants between
20 bonding film and the dielectric material prevents the creation of a homogeneous medium, since bonding films typically have dielectric constants in the range of approximately 2.5 to 3.5.

When miniaturization is desired for lower-
25 frequency microwave applications, a dielectric constant of approximately 10 or higher is preferred for the dielectric material. In these applications, when bonding film is used as an adhesive, it tends to make the effective dielectric

constant lower (i.e., lower than approximately 10) and not load the structure effectively. Additionally, the use of bonding film increases the tendency of undesired parasitic modes to propagate.

5 In a preferred embodiment, a spiral-like coupler package is created by fusion bonding layers 1, 2, 3, 4, having metalization patterns shown in Fig. 18, which are shown in greater detail in Figs. 19a, 19b, 19c, 20a, 20b, 20c, 21a, 21b, 21c, 22a, 22b, 22c. The process by which
10 this may be accomplished is described in greater detail below.

 In a preferred embodiment, four fluoropolymer composite substrate panels, such as panel 2300, typically 9 inches by 12 inches, are mounted drilled with a rectangular
15 or triangular alignment hole pattern. For example, alignment holes 2310, each of which has a diameter of 0.125 inches in a preferred embodiment, are drilled in the pattern shown in Fig. 23. Alignment holes 2310 are used to align panel 2300, or a stack of panels 2300.

20 An example of panel 2300 is panel 2301 (not shown separately), which is approximately 0.025 inches thick and has a dielectric constant of approximately 10.2.

 A second example of panel 2300 is panel 2302, which is approximately 0.025 inches thick and has a
25 dielectric constant of approximately 10.2. Holes 2320 having diameters of approximately 0.005 inches to 0.020 inches, but preferably having diameters of 0.008 inches, are drilled in the pattern shown in Fig. 24. Preferably,

alignment holes 2310 and holes 2320 are drilled into panel 2302 before it is dismantled.

A third example of panel 2300 is panel 2303, which is approximately 0.005 inches thick and has a dielectric constant of approximately 3.0. Holes 2330 having diameters of approximately 0.005 inches to 0.020 inches, but preferably having diameters of 0.008 inches, are drilled in the pattern shown in Fig. 25. Preferably, alignment holes 2310 and holes 2330 are drilled into panel 2303 before it is dismantled.

A fourth example of panel 2300 is panel 2304 (not shown separately), which is approximately 0.005 inches thick and has a dielectric constant of approximately 3.0.

Holes 2320 of panel 2302 and holes 2330 of panel 2303 are plated through for via hole formation.

Panel 2302 is further processed as follows. Panel 2302 is plasma or sodium etched, then cleaned by rinsing in alcohol for 15 to 30 minutes, then preferably rinsing in water, preferably deionized, having a temperature of 21 to 52 degrees C for at least 15 minutes. Panel 2302 is then vacuum baked for approximately 30 minutes to 2 hours at approximately 90 to 180 degrees C, but preferably for one hour at 149 degrees C. Panel 2302 is plated with copper, preferably first using an electroless method followed by an electrolytic method, to a thickness of approximately 13 to 25 microns. Panel 2302 is preferably rinsed in water, preferably deionized, for at least 1 minute. Panel 2302 is heated to a temperature of approximately 90 to 125 degrees C

for approximately 5 to 30 minutes, but preferably 90 degrees C for 5 minutes, and then laminated with photoresist. Masks are used and the photoresist is developed using the proper exposure settings to create the pattern shown in Figs. 26A and 26B (shown in greater detail in Fig. 20A, where in a preferred embodiment rings having an inner diameter of approximately 0.013 inches and an outer diameter of at least 0.015 inches are etched out of the copper, and Fig. 20B). These patterns also preferably include at least six targets 2326 on either side of panel 2302. The targets 2326 can be used for drill alignment for future processing steps, and in a preferred embodiment comprise 0.040 inch annular rings around 0.020 inch etched circles. Both the top side and the bottom side of panel 2302 are copper etched. Panel 2302 is cleaned by rinsing in alcohol for 15 to 30 minutes, then preferably rinsing in water, preferably deionized, having a temperature of 21 to 52 degrees C for at least 15 minutes. Panel 2302 is then vacuum baked for approximately 30 minutes to 2 hours at approximately 90 to 180 degrees C, but preferably for one hour at 149 degrees C.

Panel 2303 is further processed as follows. Panel 2303 is plasma or sodium etched, then cleaned by rinsing in alcohol for 15 to 30 minutes, then preferably rinsing in water, preferably deionized, having a temperature of 21 to 52 degrees C for at least 15 minutes. Panel 2303 is then vacuum baked for approximately 30 minutes to 2 hours at approximately 90 to 180 degrees C, but preferably for one hour at 149 degrees C. Panel 2303 is plated with copper,

preferably first using an electroless method followed by an electrolytic method, to a thickness of approximately 13 to 25 microns. Panel 2303 is preferably rinsed in water, preferably deionized, for at least 1 minute. Panel 2303 is
5 heated to a temperature of approximately 90 to 125 degrees C for approximately 5 to 30 minutes, but preferably 90 degrees C for 5 minutes, and then laminated with photoresist. Masks are used and the photoresist is developed using the proper exposure settings to create the pattern shown in Figs. 27A
10 and 27B (shown in greater detail in Figs. 21A and 21B). These patterns also preferably include at least six targets 2326 on either side of panel 2303. The targets 2326 can be used for drill alignment for future processing steps, and in a preferred embodiment comprise 0.040 inch annular rings
15 around 0.020 inch etched circles. Both the top side and the bottom side of panel 2303 are copper etched. Panel 2303 is cleaned by rinsing in alcohol for 15 to 30 minutes, then preferably rinsing in water, preferably deionized, having a temperature of 21 to 52 degrees C for at least 15 minutes.
20 Panel 2303 is then vacuum baked for approximately 30 minutes to 2 hours at approximately 90 to 180 degrees C, but preferably for one hour at 149 degrees C.

With the assistance of targets 2326 and alignment holes 2310, panels 2304, 2303, 2302, 2301 are stacked top to
25 bottom, aligned and fusion bonded into assembly 2800, in a preferred embodiment, at a pressure of 200 PSI, with a 40 minute ramp from room temperature to 240 degrees C, a 45

minute ramp to 375 degrees C, a 15 minutes dwell at 375 degrees C, and a 90 minute ramp to 35 degrees C.

Assembly 2800 is then aligned for the depaneling process. In a preferred embodiment, alignment is accomplished as follows. An attempt is made to drill at least two secondary alignment holes, 0.020 inches in diameter, as close as possible to the center of two of targets 2326. Using an X-ray source, the proximity of the alignment holes to the actual targets 2326 is determined. The relative position of the drill to assembly 2800 is then adjusted and another attempt to hit the center of targets 2326 is made. The process is repeated, and additional targets 2326 are used if necessary, until proper alignment is achieved. Finally, four new alignment holes, each having a diameter of 0.125 inches, are drilled so that assembly 2800 can be properly mounted.

With reference to Fig. 28, holes 2810 having diameters of approximately 0.070 inches and holes 2820 having diameters of approximately 0.039 inches are drilled in the pattern shown. Assembly 2800 is plasma or sodium etched. Assembly 2800 is cleaned by rinsing in alcohol for 15 to 30 minutes, then preferably rinsing in water, preferably deionized, having a temperature of 21 to 52 degrees C for at least 15 minutes. Assembly 2800 is then vacuum baked for approximately 30 minutes to 2 hours at approximately 90 to 180 degrees C, but preferably for one hour at 100 degrees C. Assembly 2800 is plated with copper, preferably first using an electroless method followed by an

electrolytic method, to a thickness of approximately 13 to 25 microns. Assembly 2800 is preferably rinsed in water, preferably deionized, for at least 1 minute. Assembly 2800 is heated to a temperature of approximately 90 to 125
5 degrees C for approximately 5 to 30 minutes, but preferably 90 degrees C for 5 minutes, and then laminated with photoresist. A mask is used and the photoresist is developed using the proper exposure settings to create the pattern shown in Fig. 29 (shown in greater detail in Figs.
10 22A and 19B). Both the top side and bottom side of assembly 2800 is copper etched. Assembly 2800 is cleaned by rinsing in alcohol for 15 to 30 minutes, then preferably rinsing in water, preferably deionized, having a temperature of 21 to 52 degrees C for at least 15 minutes. Assembly 2800 is
15 plated with tin or lead, then the tin/lead plating is heated to the melting point to allow excess plating to reflow into a solder alloy. Assembly 2800 is again cleaned by rinsing in alcohol for 15 to 30 minutes, then preferably rinsing in water, preferably deionized, having a temperature of 21 to
20 52 degrees C for at least 15 minutes.

Assembly 2800 is depaneled, as shown in Fig. 30, using a depaneling method, which may include drilling and milling, diamond saw, and/or EXCIMER laser. In a preferred embodiment, tacky tape, such as 0.003 inches thick tacky
25 tape in a preferred embodiment, is used to remove the individual spiral coupler packages 300. A manufacturer of such tacky tape is Minnesota Mining and Manufacturing Co. ("3M"), located in St. Paul, Minnesota. Assembly 2800 is

again cleaned by rinsing in alcohol for 15 to 30 minutes,
then preferably rinsing in water, preferably deionized,
having a temperature of 21 to 52 degrees C for at least 15
minutes. Assembly 2800 is then vacuum baked for
5 approximately 45 to 90 minutes at approximately 90 to 125
degrees C, but preferably for one hour at 100 degrees C

Combining Spiral-Like Couplers With Other Components

Spiral-like couplers utilizing PTFE can be used in
10 conjunction with other components and other technologies.
For example, ceramic materials (having their own circuitry)
can be attached to PTFE, by means of film bonding, or glue,
by way of example only. Hybrid circuits combining the
benefits of ceramics and PTFE can have benefits over either
15 technology alone. For example, the relatively high
dielectric constants, e.g. above approximately 10.2, of hard
ceramics in a hybrid circuit can allow a manufacturer to
design a circuit that is smaller and less lossy than pure
PTFE circuits. Ceramics inserted within a cavity of a PTFE
20 structure as a drop-in unit allows the exploitation of both
ceramic and PTFE processes. Since hard ceramics typically
offer very low loss tangents, the resulting circuits are
less lossy.

A manufacturer can also embed within such a
25 circuit ferrite and/or ferroelectric materials with the same
consistency of ceramics. Ferroelectric materials have
variable dielectric constant charges that can be controlled
with a DC bias voltage. Thus, the frequency range of a

coupler can be tuned electronically by changing the dielectric loading. Although ferrite materials may not offer much benefit to traditional couplers, they can be beneficial for spiral-like couplers, whose frequency ranges
5 can be more beneficially varied.

Using PTFE, one can embed active elements in a fusion bonded homogeneous dielectric structure, in conjunction with spiral-like couplers. Some applications for combining active elements with spiral-like couplers
10 include, by way of example only, digital attenuators, tunable phase shifters, IQ networks, vector modulators, and active mixers.

Advantages and Applications of Mixing Dielectric Constants

15 A benefit of mixing PTFE material having different dielectric constants in a microwave device is the ability to achieve a desired dielectric constant between approximately 2.2 to 10.2. This is achieved by mixing and weighting different materials and thicknesses in a predetermined stack
20 arrangement. Some advantages of this method are: design freedom to vary dimensional properties associated with a particular pre-existing design; providing a stack-up of multiconductor-coupled lines in the z-plane; and creating a broader range of coupling values. By varying the thickness
25 of layers (whose other attributes may be pre-defined), one can vary the properties of spiral couplers without extensive redesign.

While there have been shown and described and pointed out fundamental novel features of the invention as applied to embodiments thereof, it will be understood that various omissions and substitutions and changes in the form and details of the invention, as herein disclosed, may be made by those skilled in the art without departing from the spirit of the invention. It is expressly intended that all combinations of those elements and/or method steps which perform substantially the same function in substantially the same way to achieve the same results are within the scope of the invention. It is the intention, therefore, to be limited only as indicated by the scope of the claims appended hereto.

15

Claims

1. A microwave circuit package comprising:
a plurality of fluoropolymer composite substrate layers defining levels and having surfaces;

a plurality of metal layers disposed on said surfaces of plurality of substrate layers;

a plurality of groundplanes comprising a first subset of said plurality of metal layers connected by a first plurality of conductors; and

at least one coupler comprising a plurality of coupling lines, wherein said coupler has a substantially spiral-like shape.
2. The microwave circuit of claim 1, wherein said spiral-like shape is substantially circular.
3. The microwave circuit of claim 1, wherein said spiral-like shape is substantially rectangular.
4. The microwave circuit of claim 1, wherein said spiral-like shape is substantially oval.
5. The microwave circuit of claim 1, wherein said spiral-like shape is substantially circular.
6. The microwave circuit of claim 1, wherein said plurality of coupling lines are substantially co-planar.

7. The microwave circuit of claim 1, wherein said plurality of coupling lines are distributed across a plurality of planes.

8. The microwave circuit of claim 1, wherein said plurality of coupling lines is at least three coupling lines.

9. The microwave circuit of claim 1, wherein said plurality of fluoropolymer composite substrate layers are fusion bonded into a homogeneous dielectric structure.

10. The microwave circuit of claim 9, wherein at least one of said plurality of fluoropolymer composite substrate layers is adhered to ceramic.

11. The microwave circuit of claim 9, wherein said homogeneous dielectric structure has embedded active elements.

12. A method of manufacturing a coupler having a substantially spiral-like shape, comprising the steps of:

manufacturing a plurality of fluoropolymer composite substrate layers;

etching at least one metal layer disposed on at least a subset of said plurality of substrate layers, wherein said at least one metal layer comprises a plurality of coupling lines.

13. The method of manufacturing a coupler having a spiral-like shape of claim 12, wherein said spiral-like shape is substantially circular.

14. The method of manufacturing a coupler having a spiral-like shape of claim 12, wherein said spiral-like shape is substantially rectangular.

15. The method of manufacturing a coupler having a spiral-like shape of claim 12, wherein said spiral-like shape is substantially oval.

16. The method of manufacturing a coupler having a spiral-like shape of claim 12, wherein said spiral-like shape is substantially circular.

17. The method of manufacturing a coupler having a spiral-like shape of claim 12, wherein said at least one metal layer is exactly one metal layer.

18. The method of manufacturing a coupler having a spiral-like shape of claim 12, wherein said at least one metal layer is a plurality of metal layers and wherein said plurality of coupling lines is distributed among at least two of said plurality of metal layers.

19. The method of manufacturing a coupler having a spiral-like shape of claim 12, wherein said plurality of coupling lines is at least three coupling lines.

20. The method of manufacturing a coupler having a spiral-like shape of claim 12, wherein said plurality of

fluoropolymer composite substrate layers are fusion bonded into a homogeneous dielectric structure.

21. The method of manufacturing a coupler having a spiral-like shape of claim 20, wherein at least one of said plurality of fluoropolymer composite substrate layers is adhered to ceramic.

22. The method of manufacturing a coupler having a spiral-like shape of claim 20, wherein said homogeneous dielectric structure has embedded active elements.

23. A microwave circuit comprising:

fluoropolymer composite substrate means for defining levels and surfaces;

metal layer means disposed on said surfaces to define a plurality of conducting layers;

grounding means comprising a first subset of said plurality of conducting layers; and

coupling lines means for forming a coupler having a substantially spiral-like shape.

24. The microwave circuit of claim 23, wherein said spiral-like shape is substantially circular.

25. The microwave circuit of claim 23, wherein said spiral-like shape is substantially rectangular.

26. The microwave circuit of claim 23, wherein said spiral-like shape is substantially oval.

27. The microwave circuit of claim 23, wherein said spiral-like shape is substantially circular.

28. The microwave circuit of claim 23, wherein said coupling lines means are substantially co-planar.

29. The microwave circuit of claim 23, wherein said coupling lines means are distributed across a plurality of planes.

30. The microwave circuit of claim 23, wherein said coupling lines means comprises at least three coupling lines.

31. The microwave circuit of claim 23, wherein said surfaces are fusion bonded.

32. The microwave circuit of claim 31, wherein at least one of said surfaces is adhered to ceramic.

33. The microwave circuit of claim 31, wherein said microwave circuit has embedded active elements.

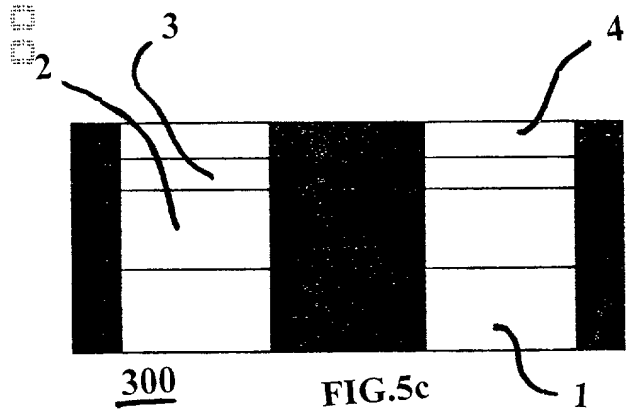
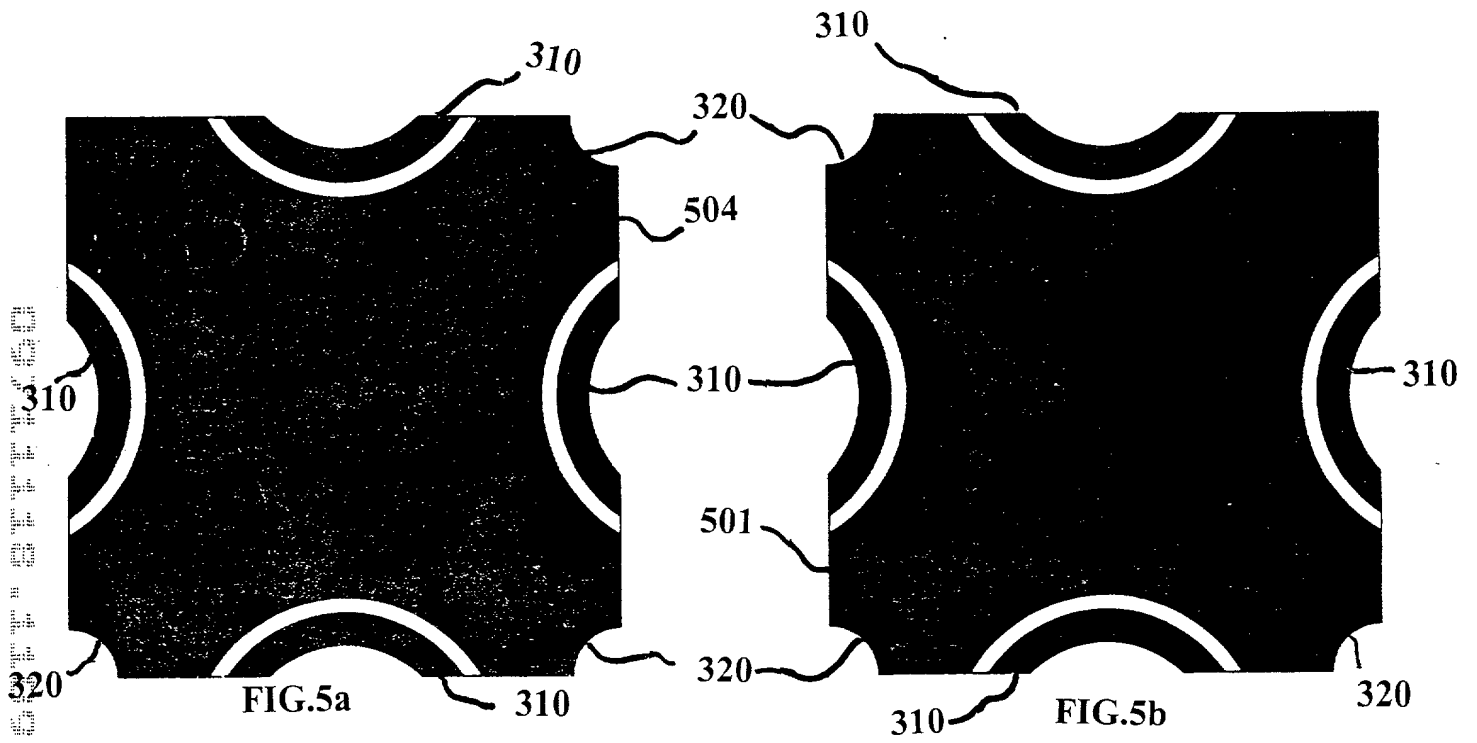
ABSTRACT

A microwave circuit utilizes a spiral-like coupler configuration to achieve the functionality of a traditional coupler with higher density and lower volume. A plurality of substrate layers having metal layers disposed on them are bonded to form the package. A plurality of groundplanes may be used to isolate the spiral-like shape from lines extending out to contact pads or other circuitry.

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Figure 1 is a perspective view of a substrate 300. The substrate 300 is a rectangular plate with a grid pattern 410. A central structure 400 is located on the substrate 300. The central structure 400 has a vertical section 410 and a horizontal section 410. A coordinate system is shown with a vertical axis labeled 'z'.

FIG.4



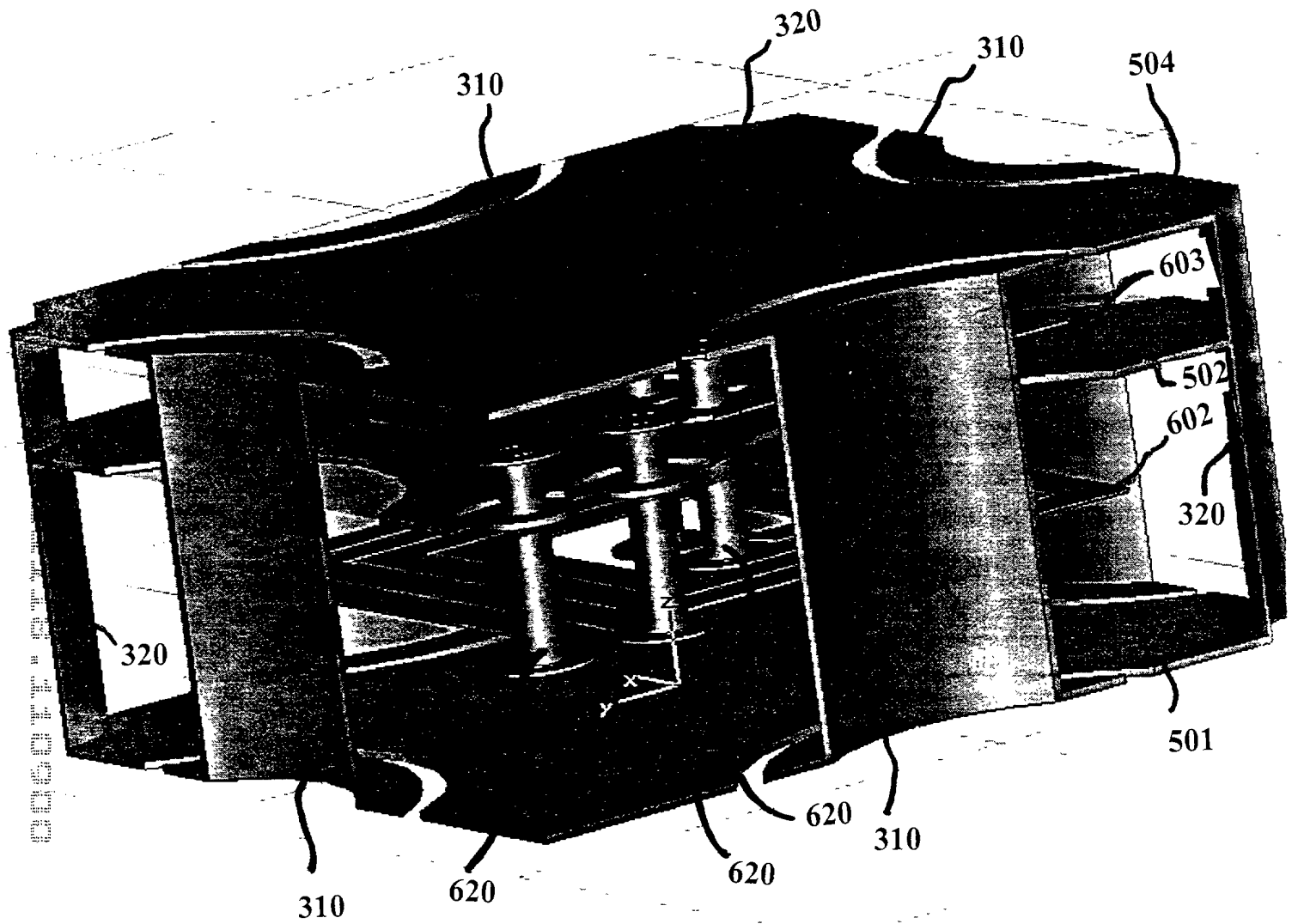


FIG.6

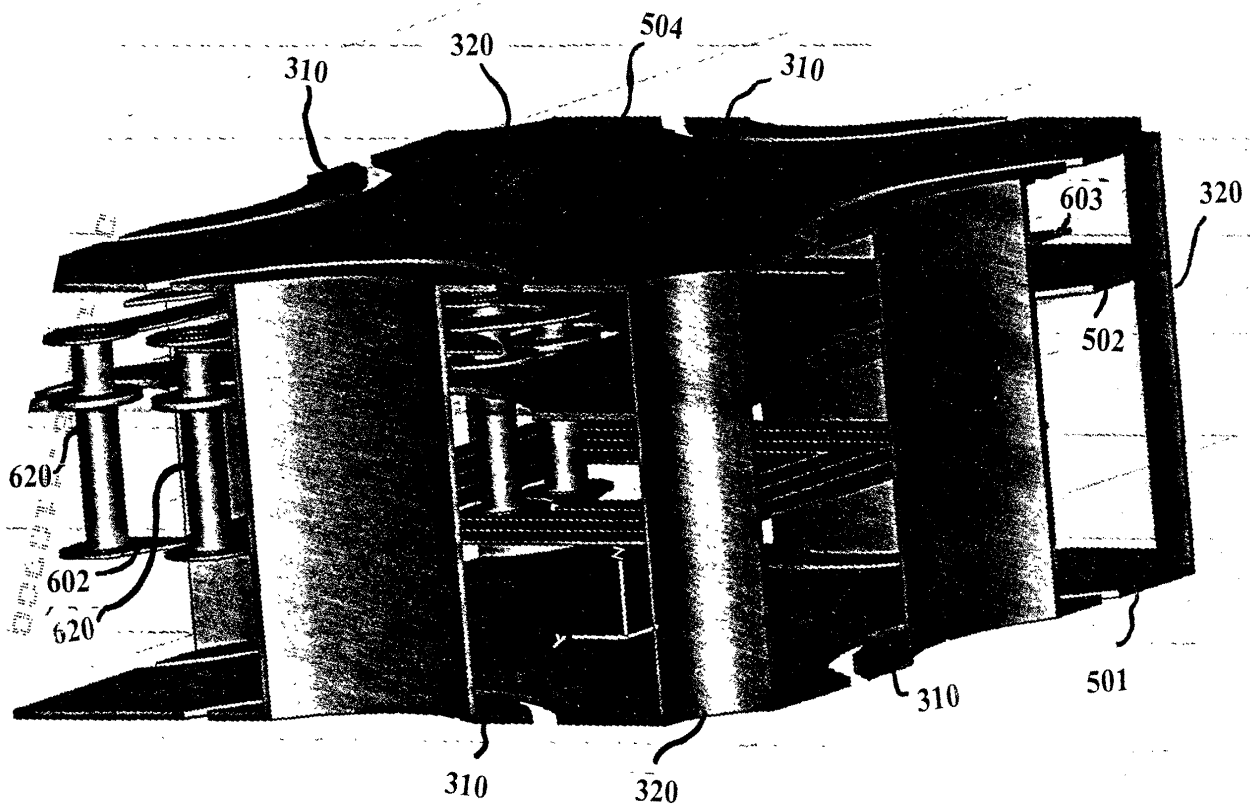


FIG.7

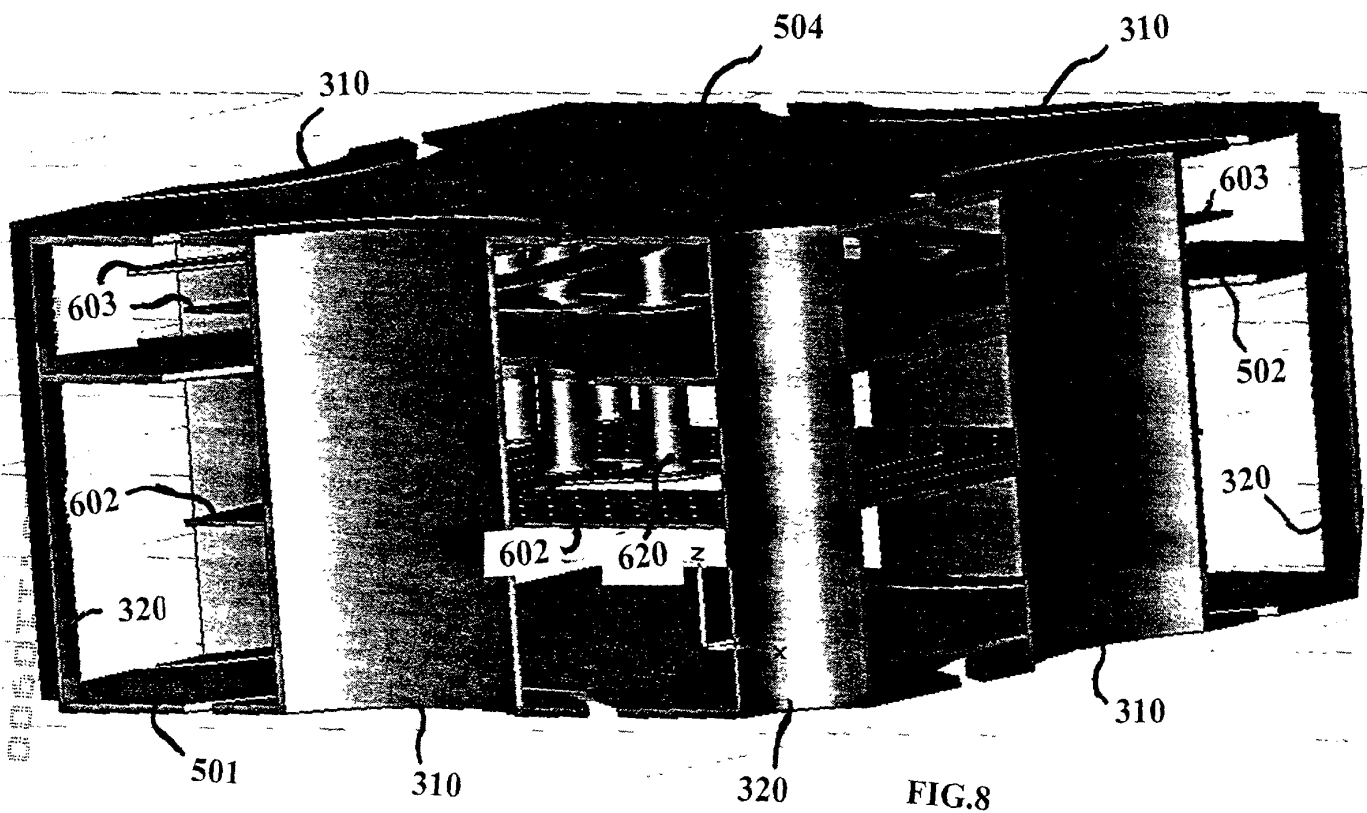


FIG.8

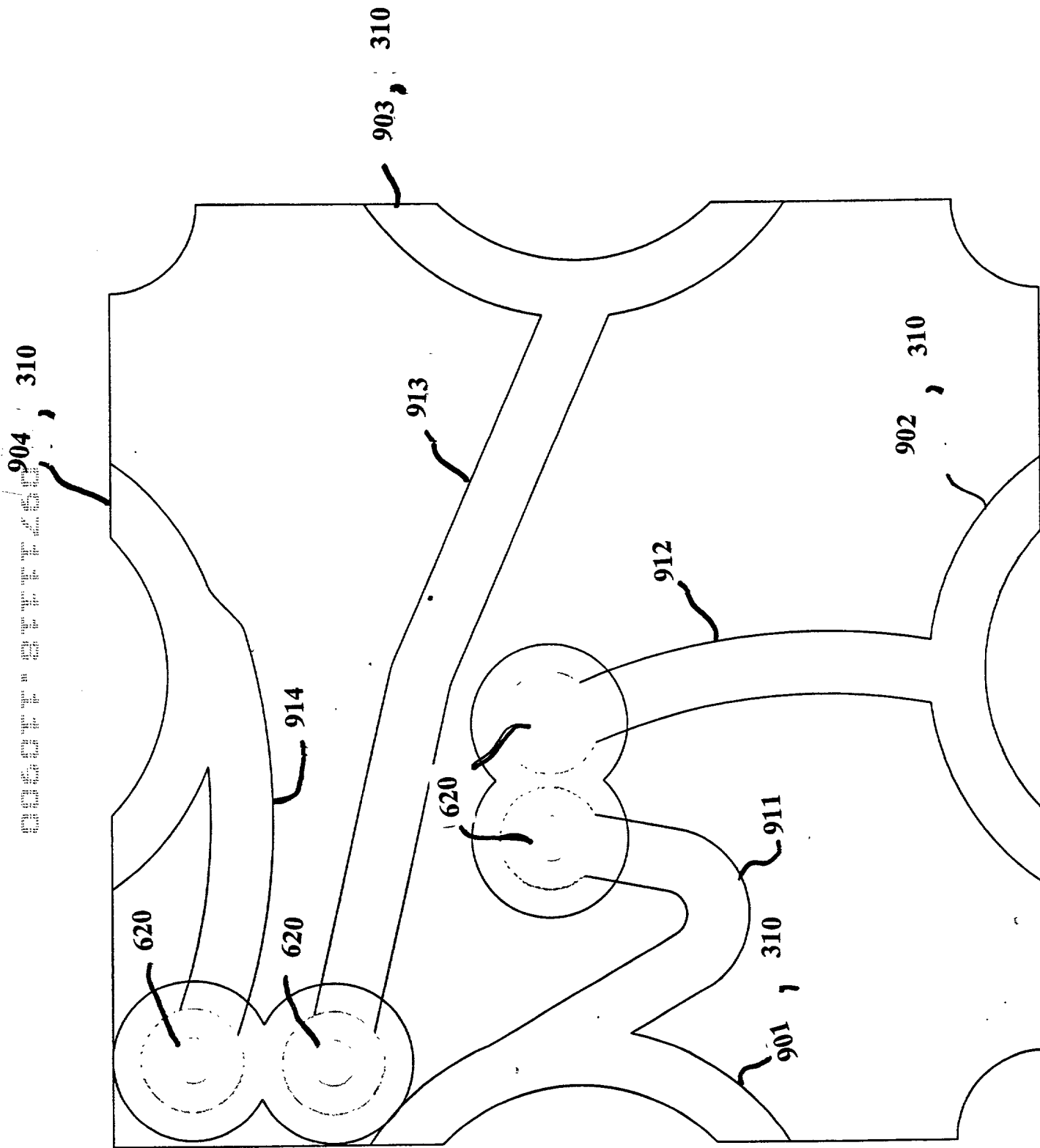


FIG.9

Fig.10

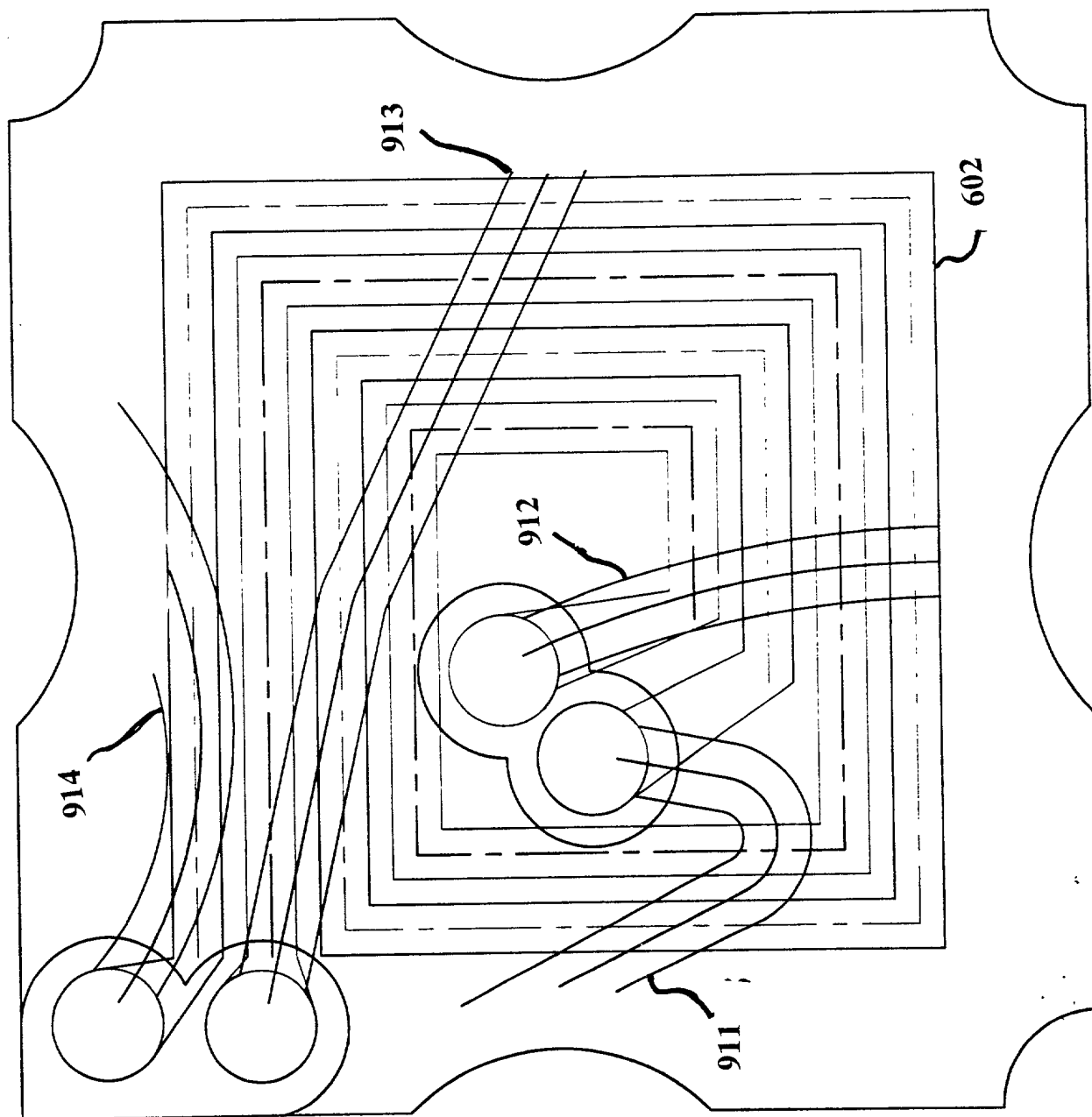


Fig. 11

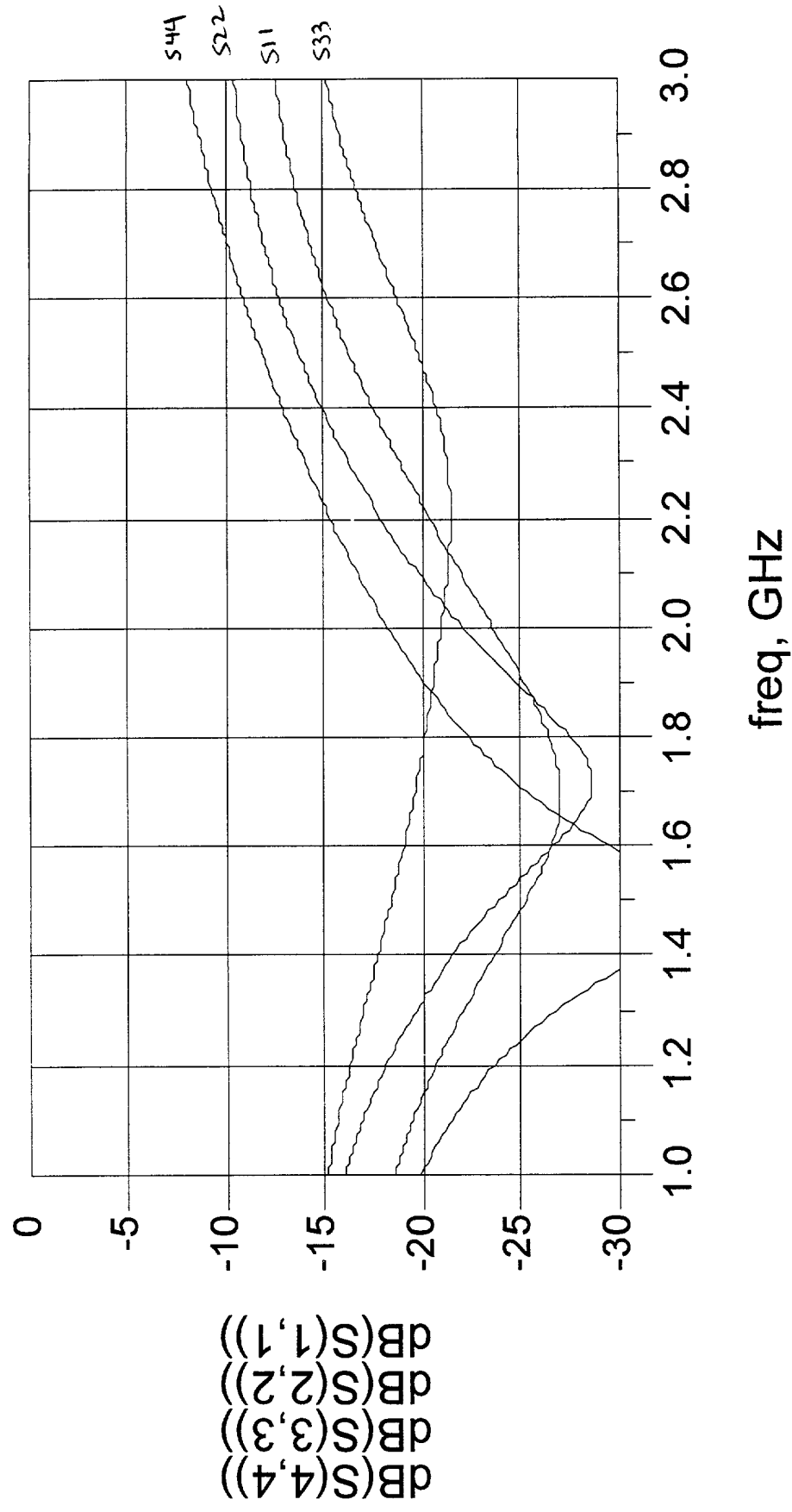


Fig. 12

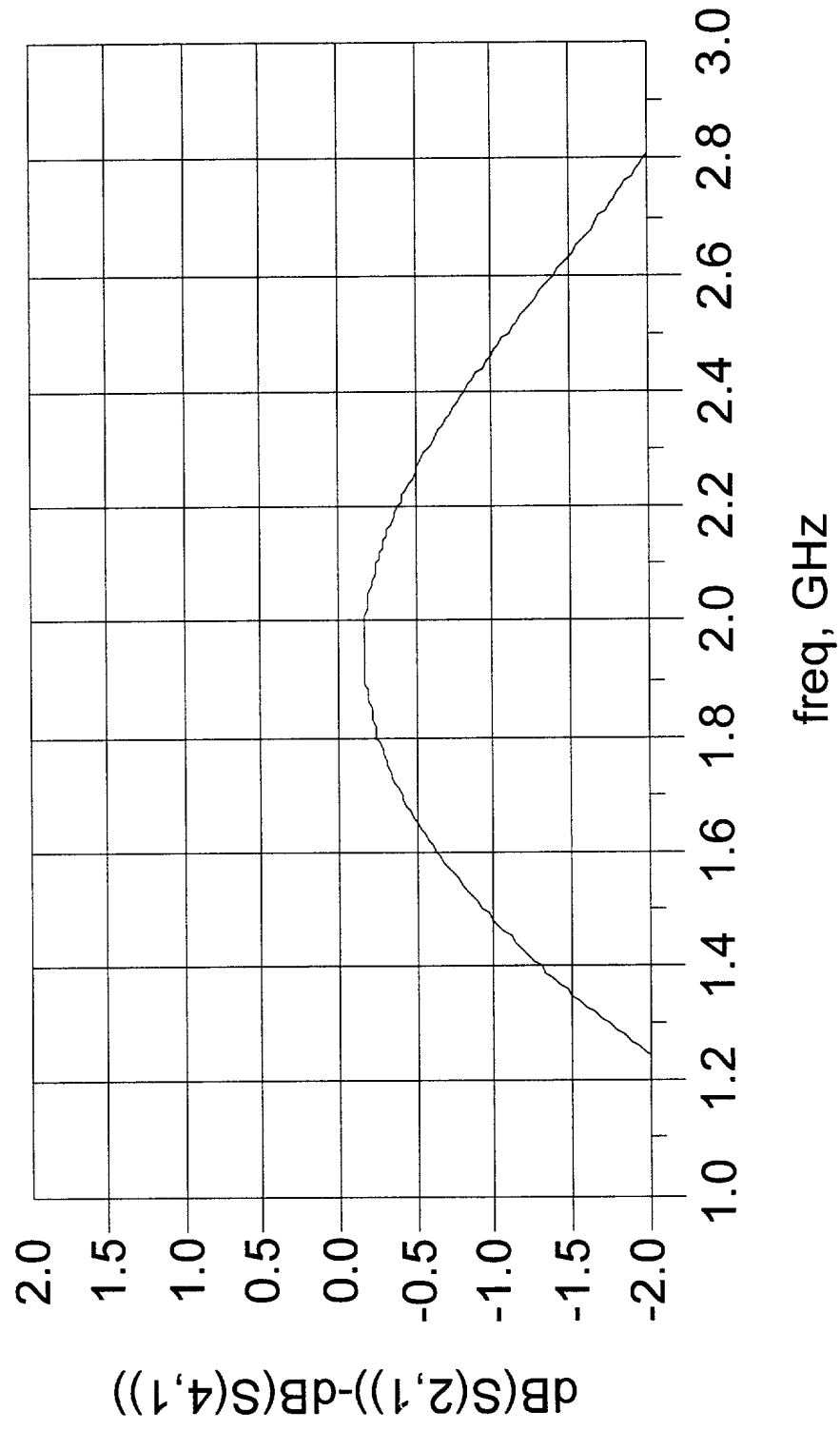


Fig.13

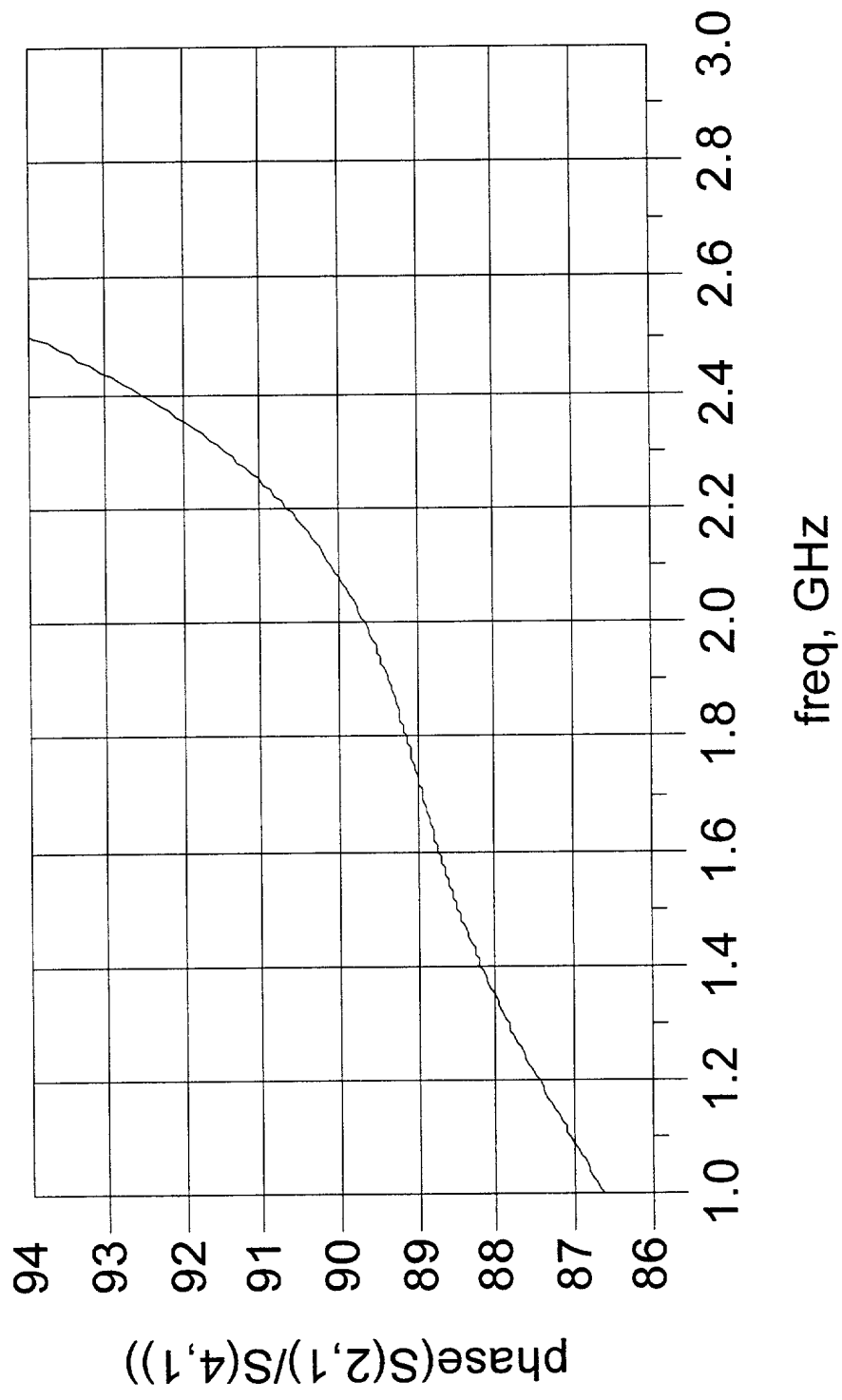


Fig.14

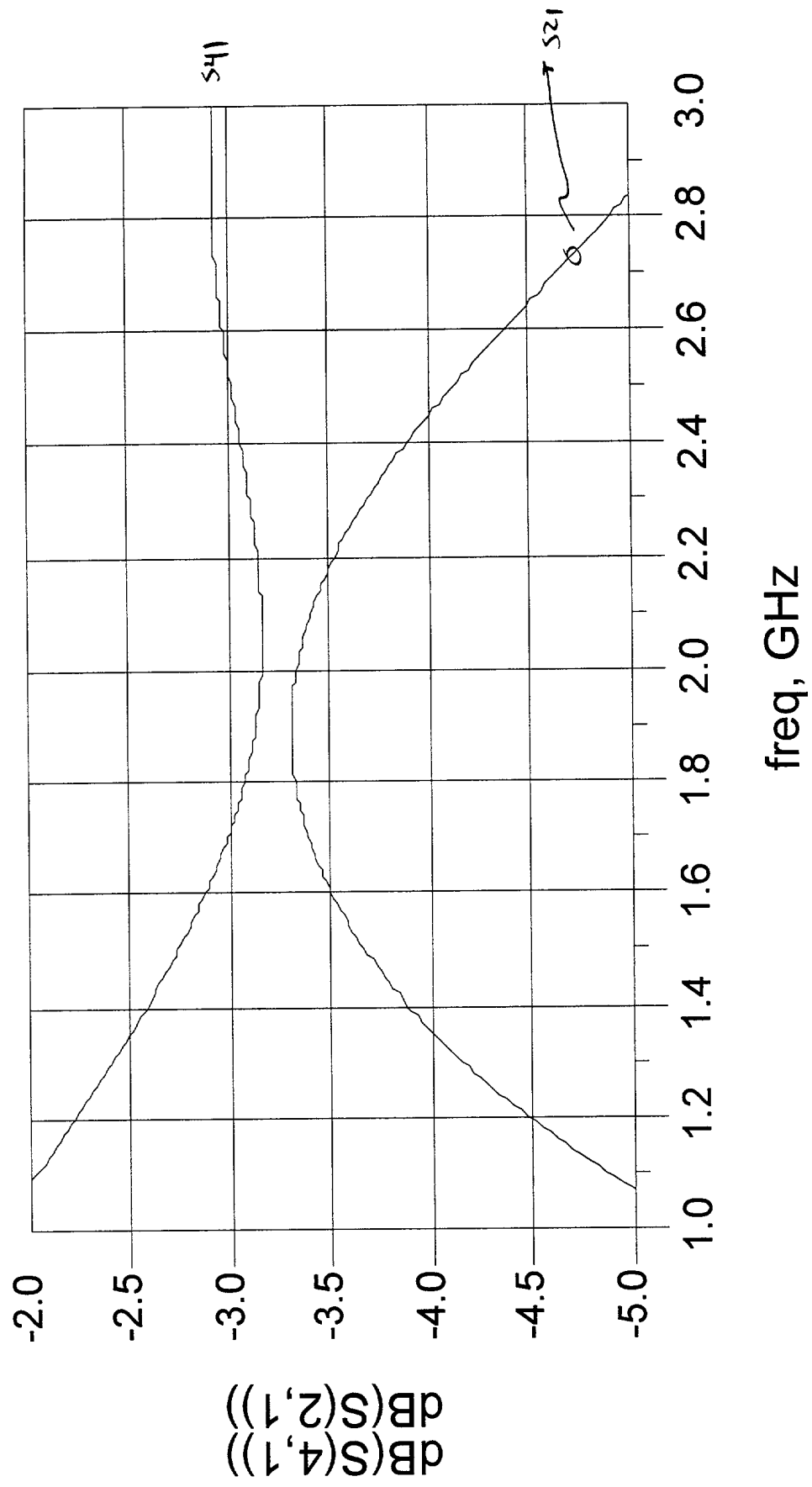


Fig.15

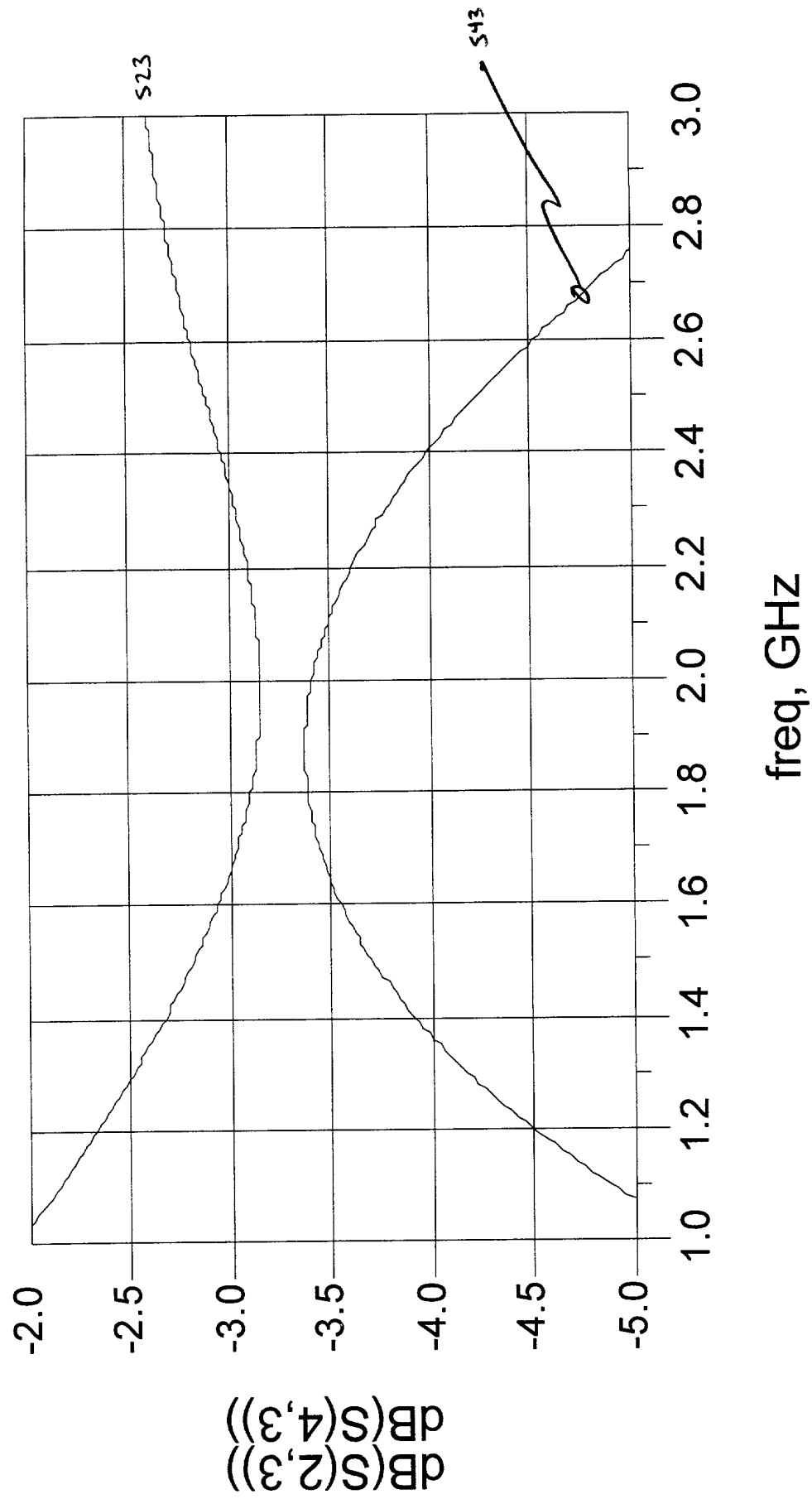


Fig.16

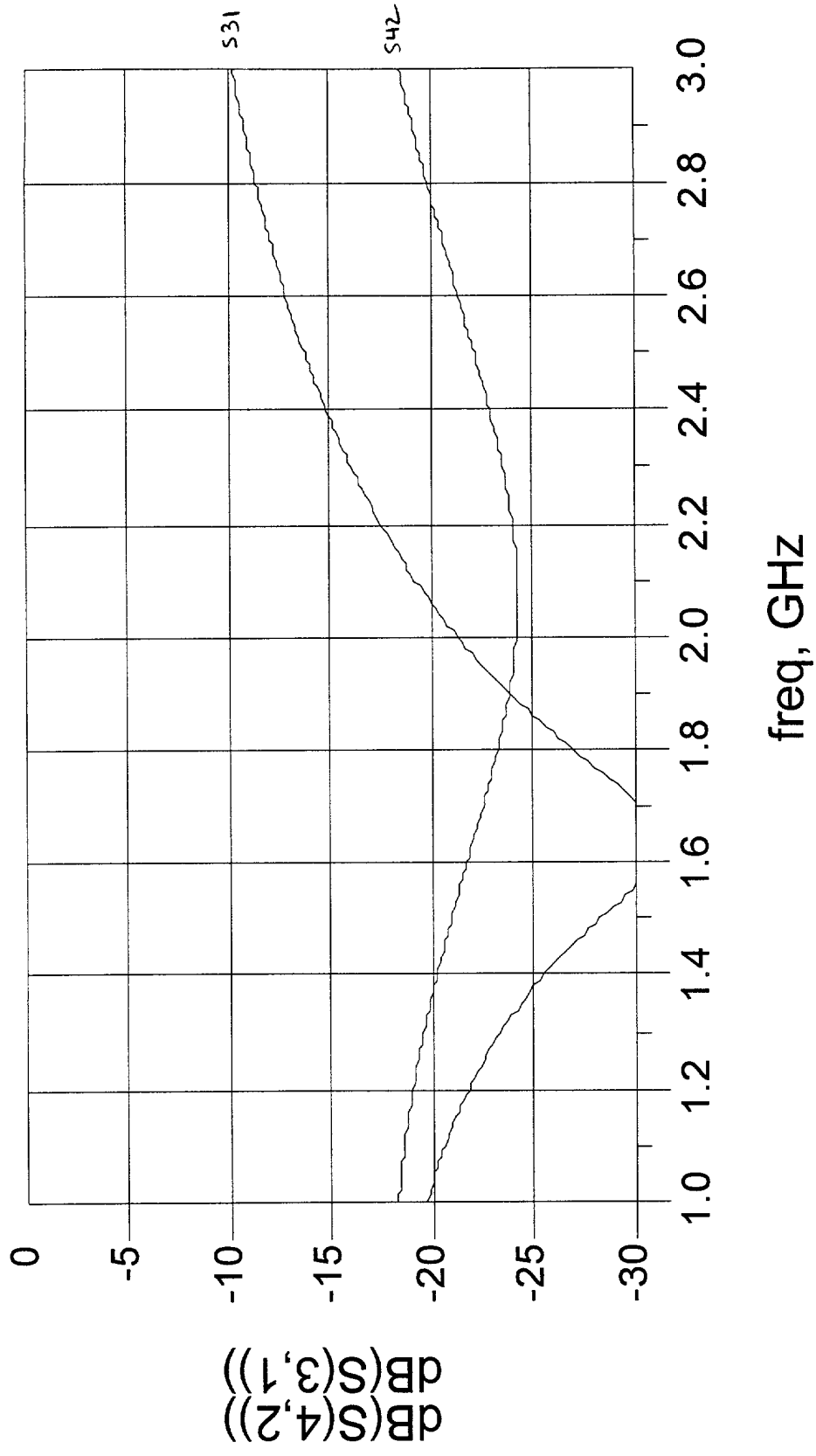


Fig.17

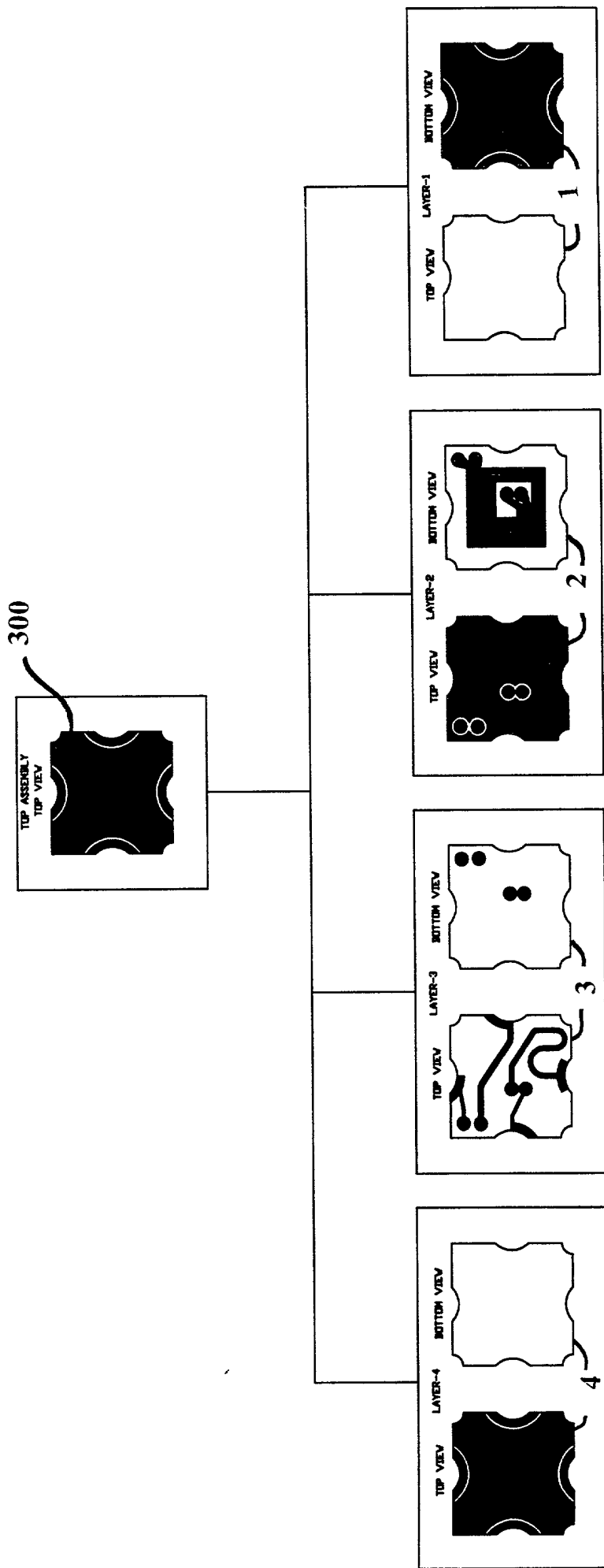
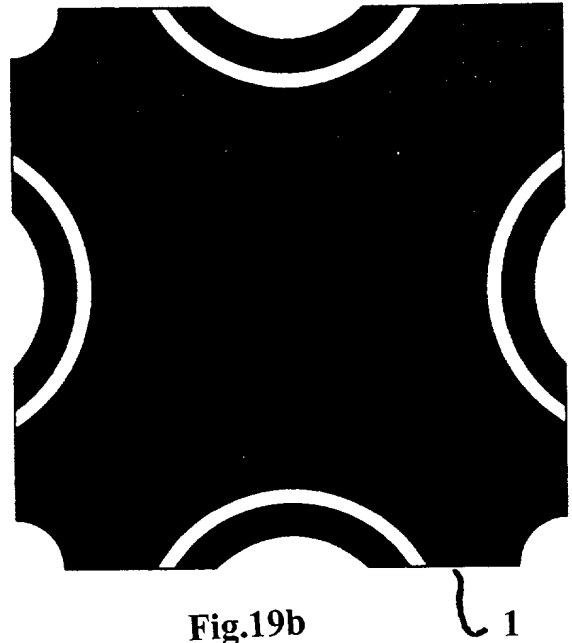


Fig.18

Fig. 10c

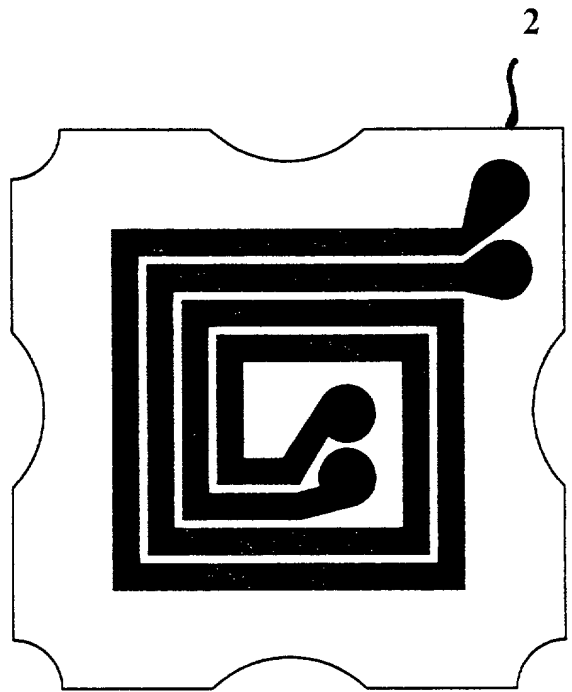
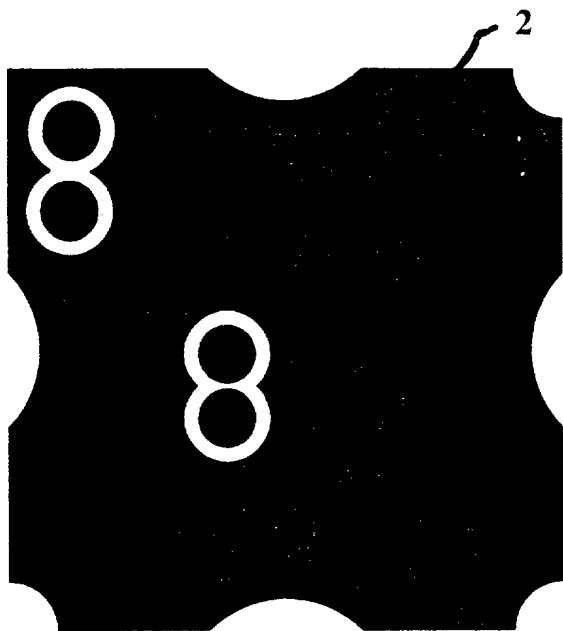
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Fig.19c



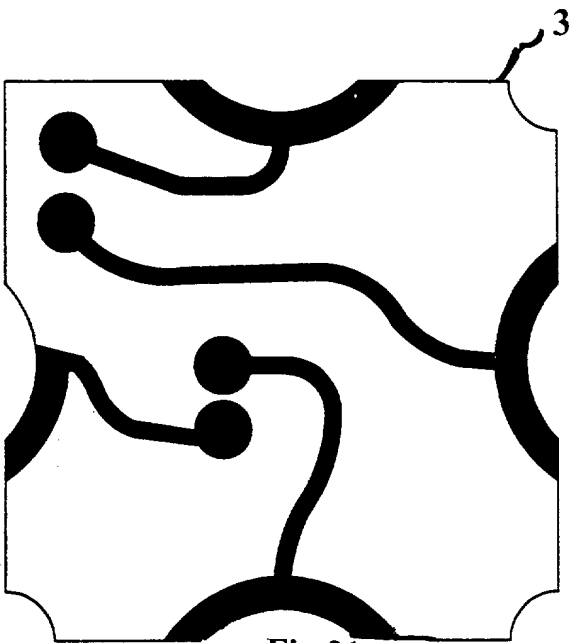


Fig.21a

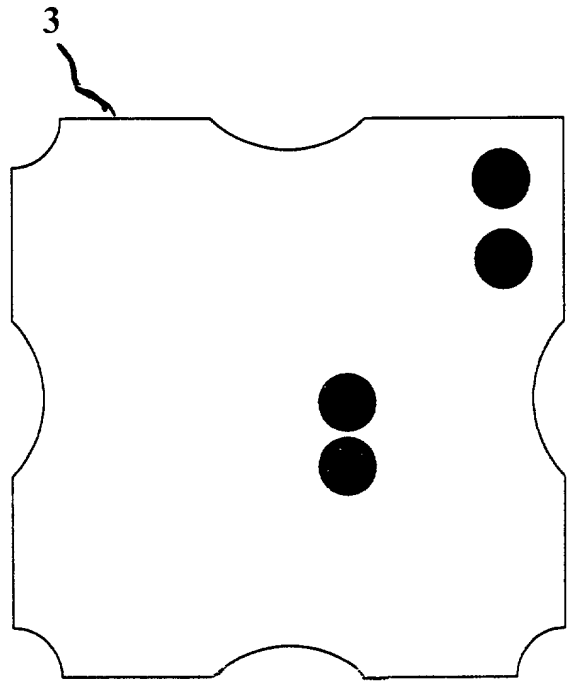


Fig.21b



Fig.21c

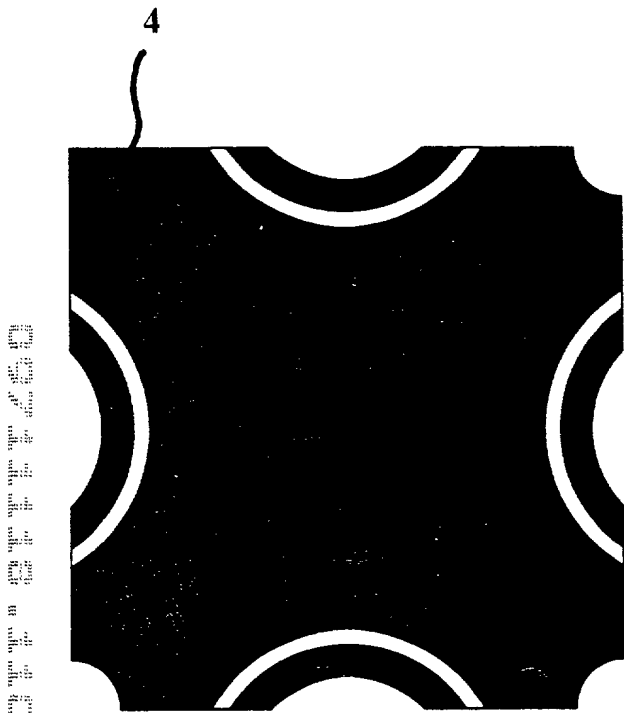


Fig.22a

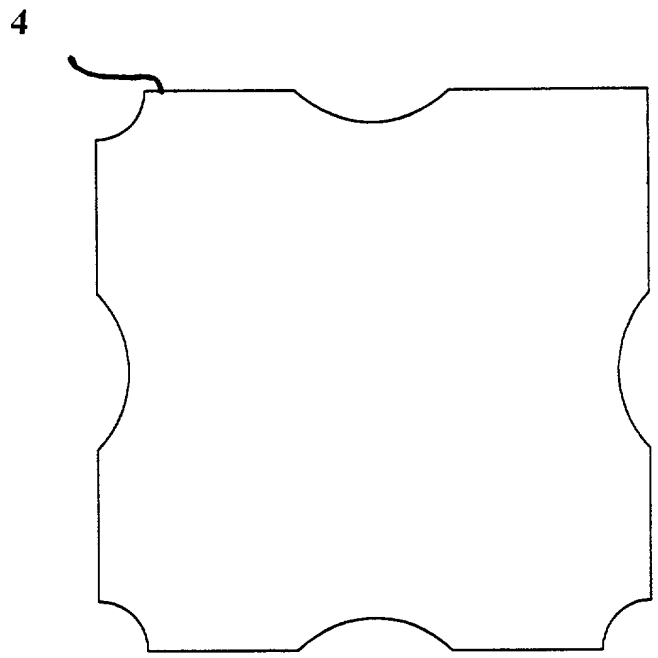


Fig.22b



Fig.22c



Fig.23

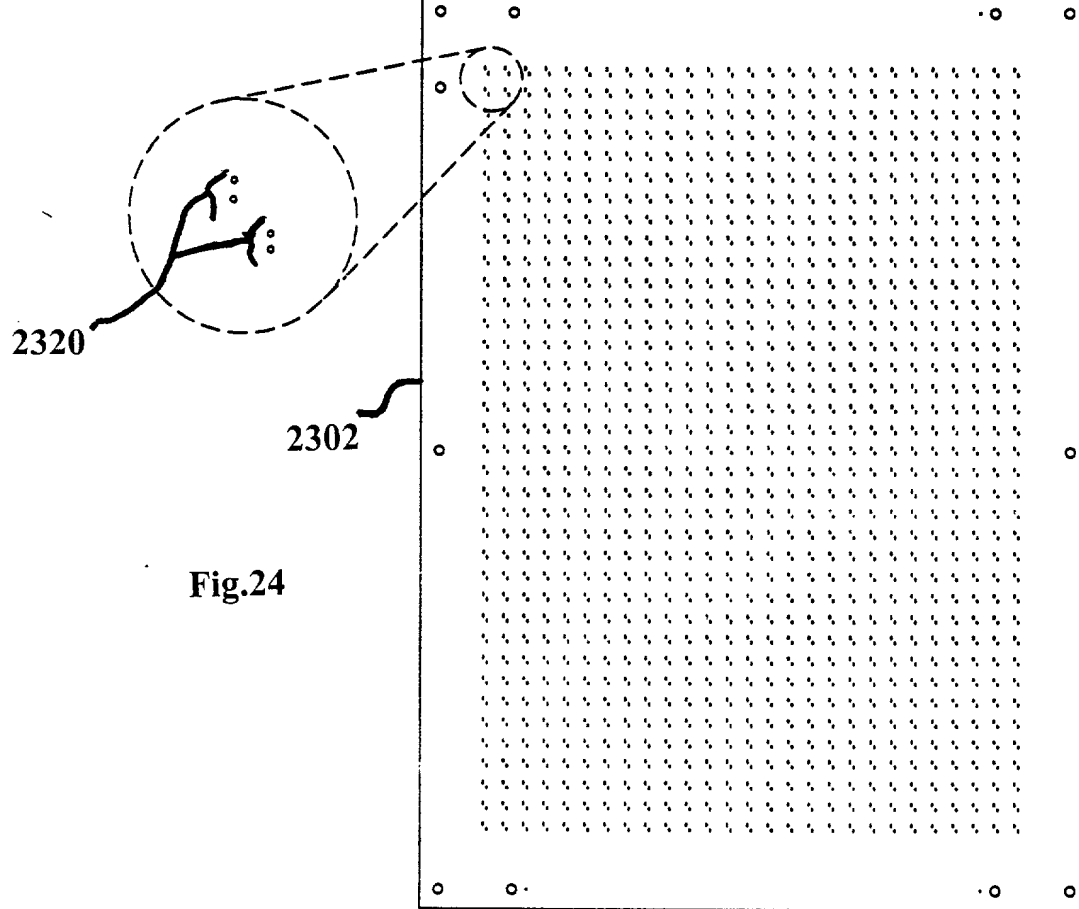


Fig.24

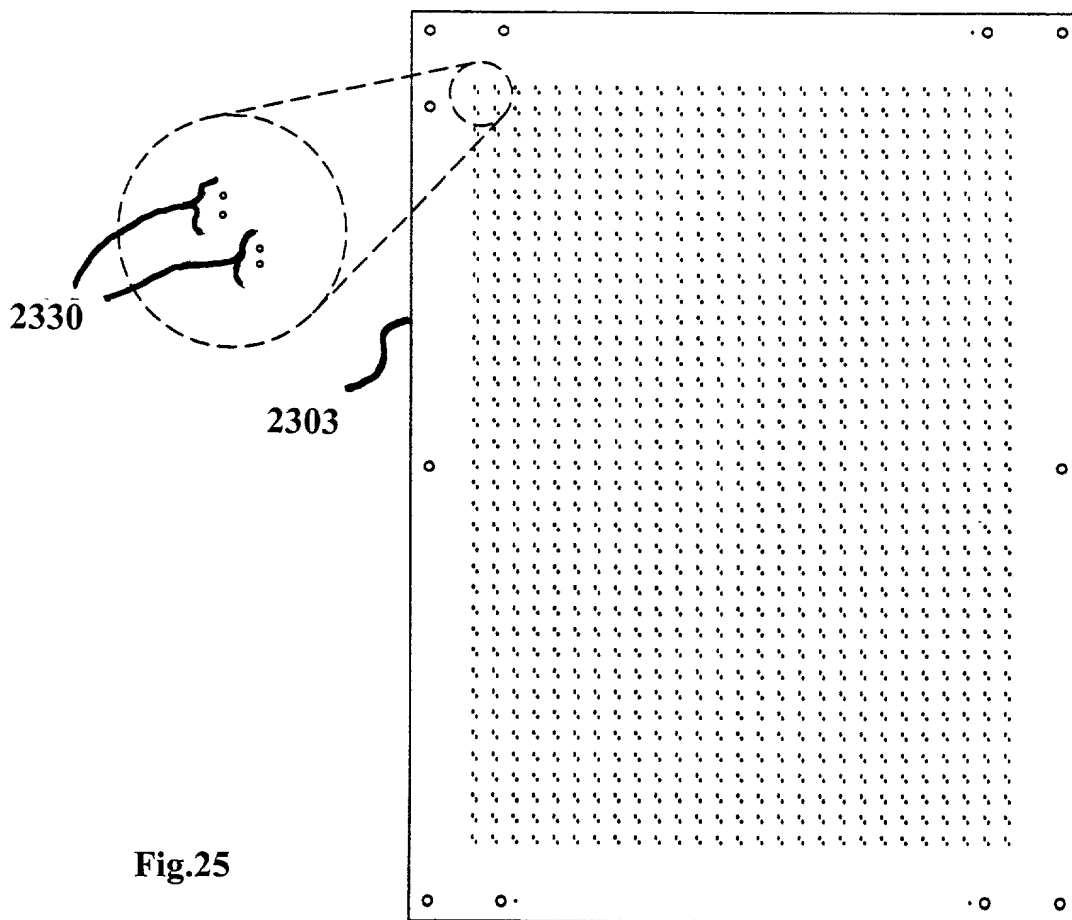


Fig.25

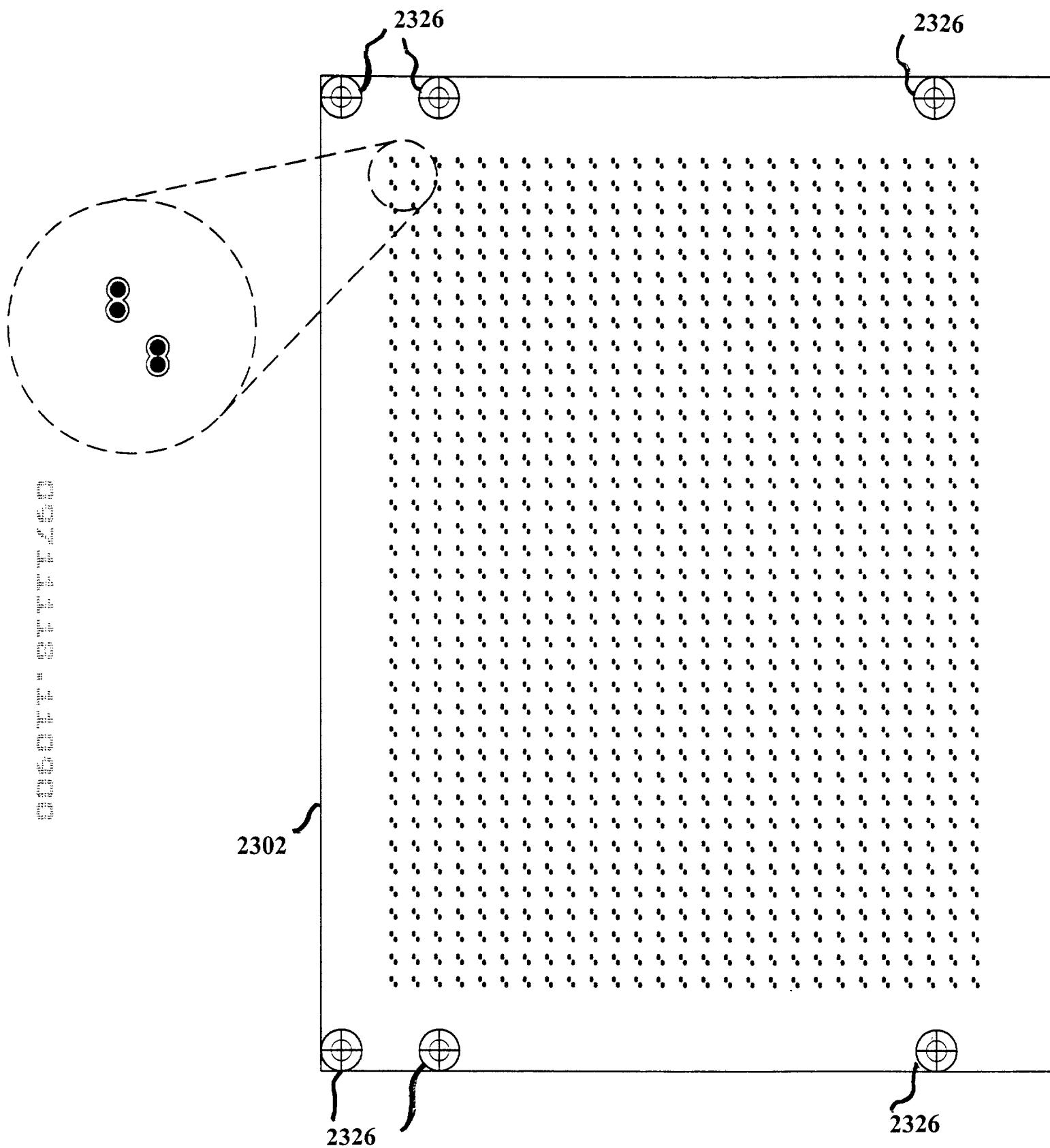


Fig.26a

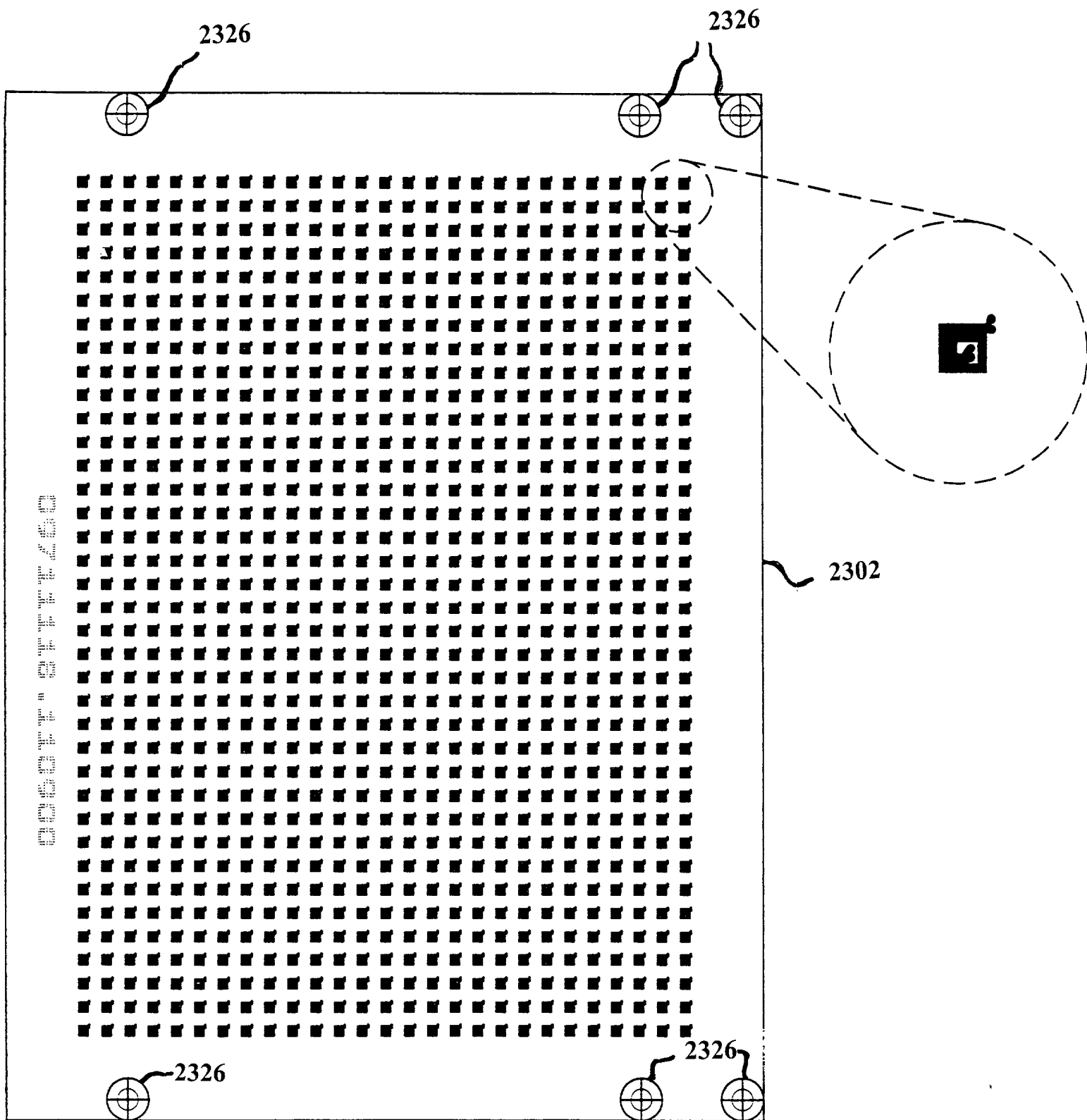


Fig.26b

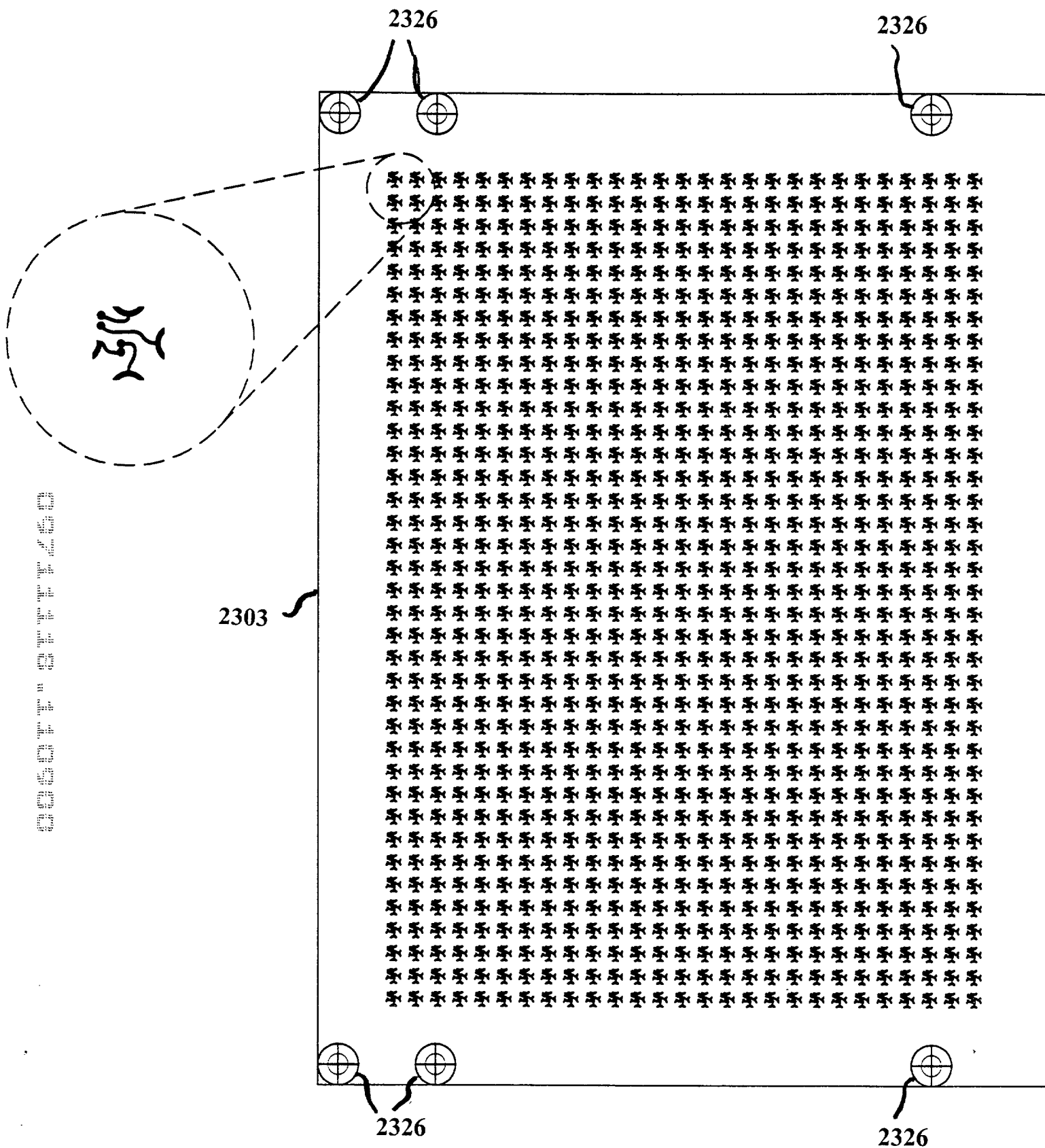


Fig.27a

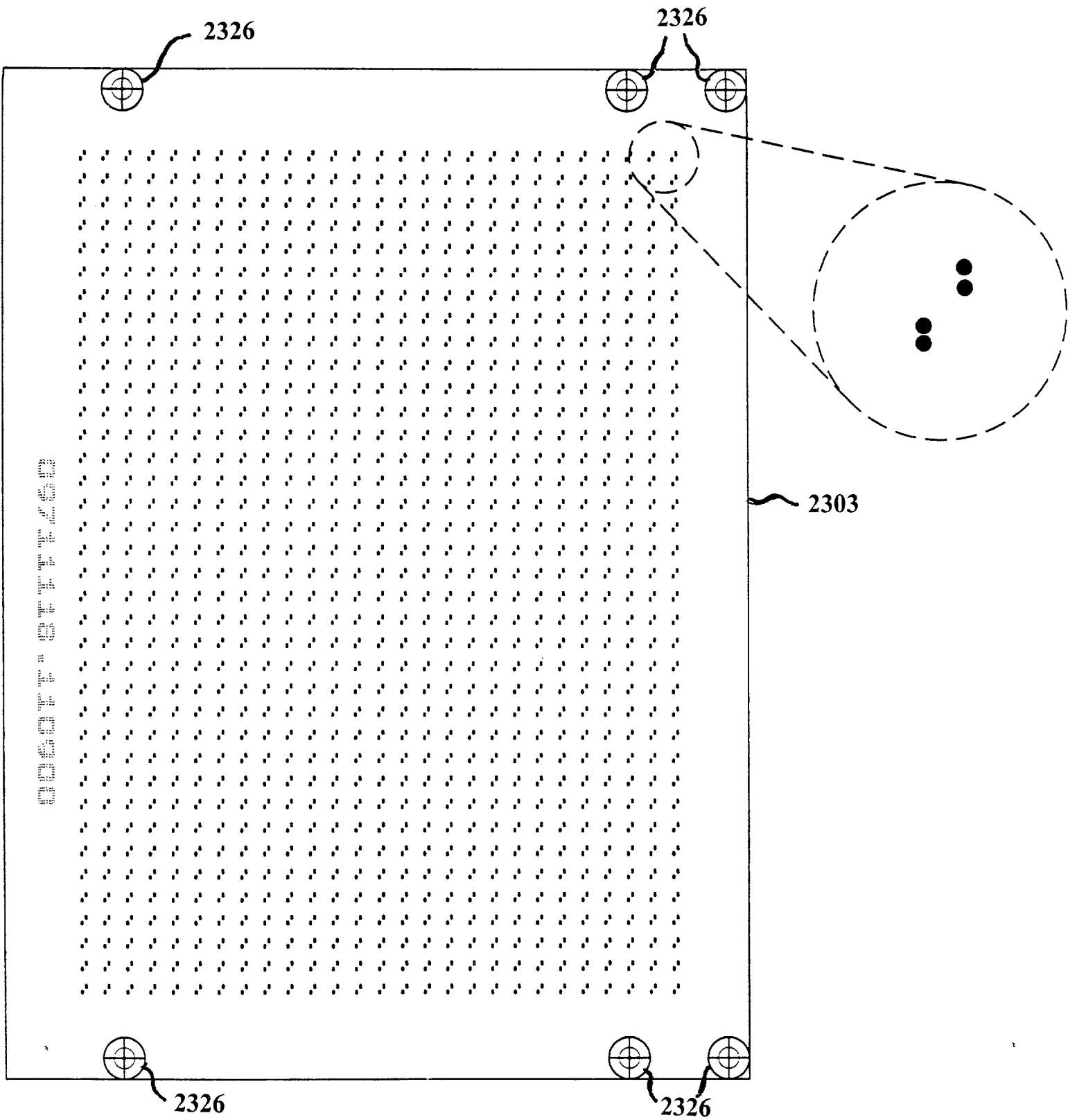


Fig.27b

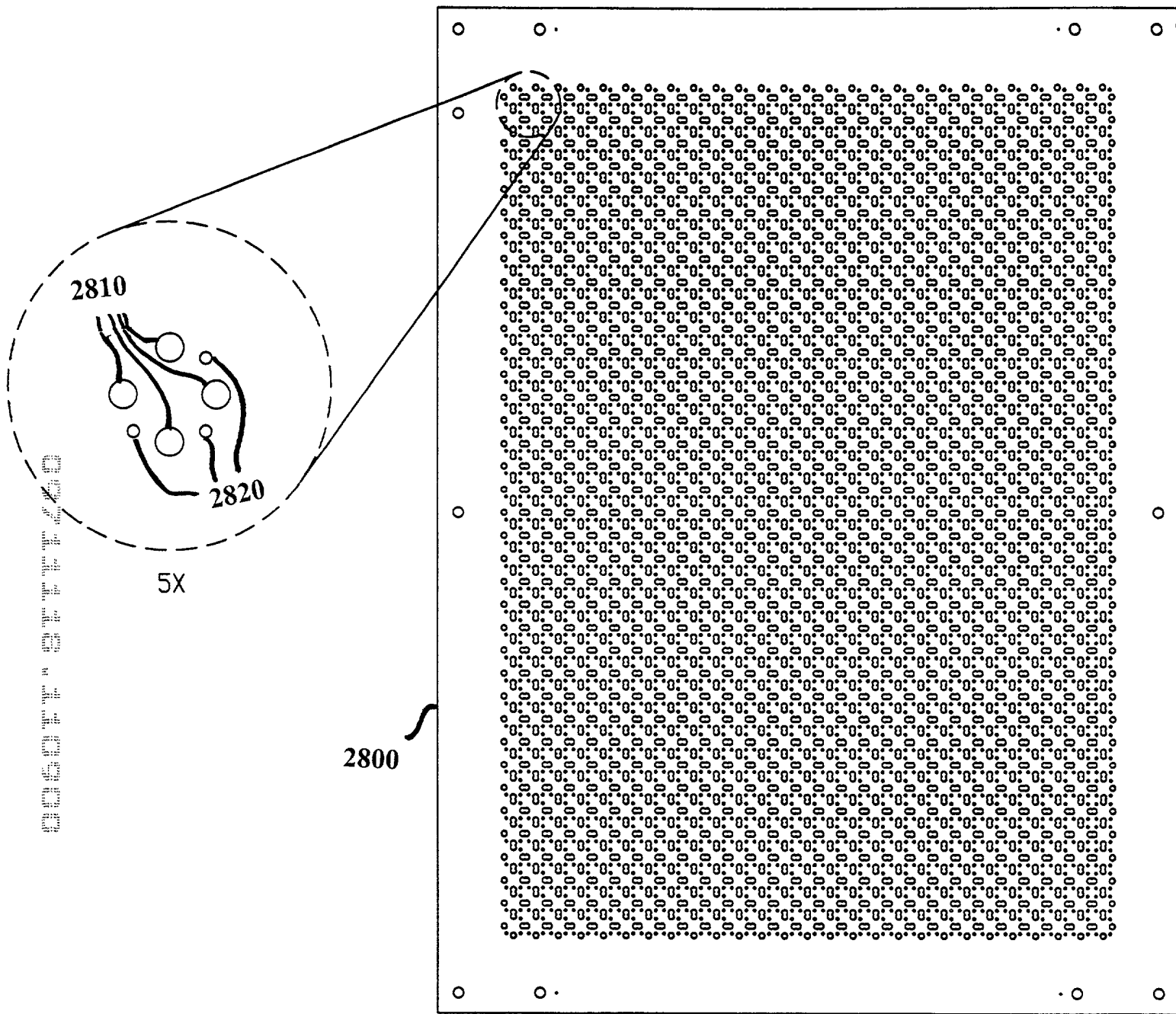


Fig.28

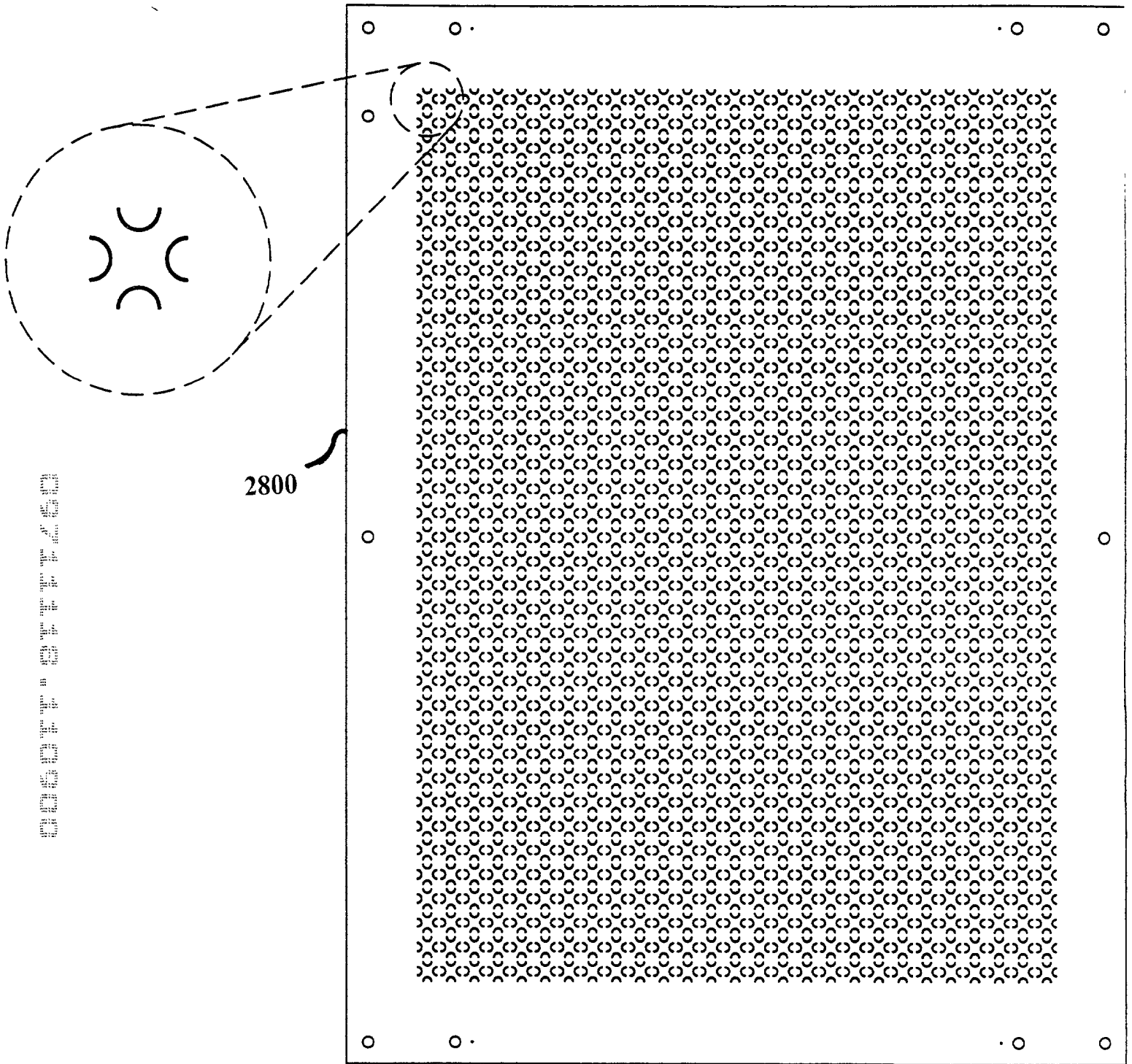


Fig.29

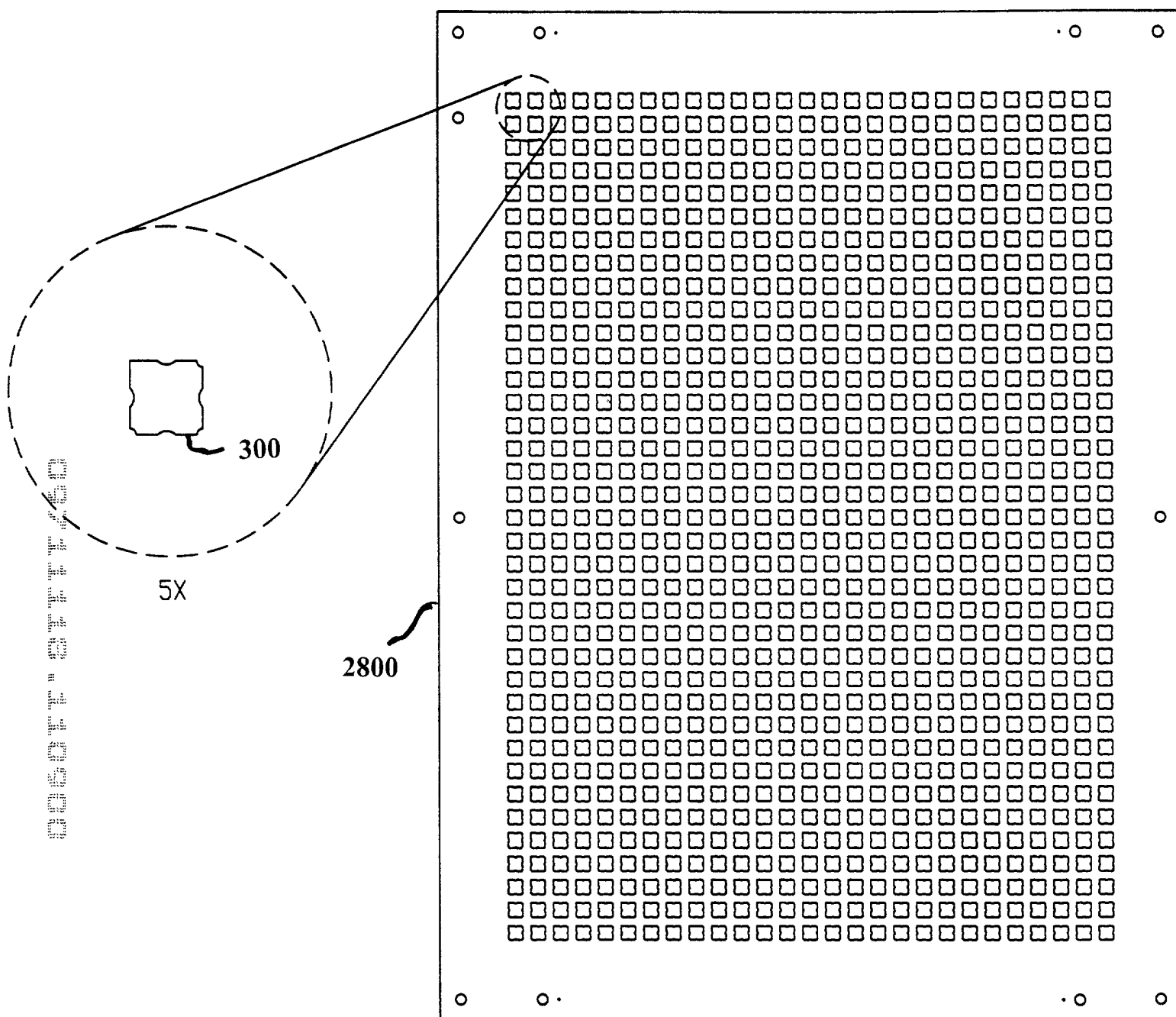


Fig.30

DECLARATION AND POWER OF
ATTORNEY FOR PATENT APPLICATION

As below named inventors, we hereby declare that:

Our residences, post office addresses and citizenship are as stated below our name:

Name: Joseph McAndrew
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Citizenship: United States of America

Name: Rocco A. De Lillo
Residence and post office address: 183 Pine Brook Blvd.
New Rochelle, New York 10804
United States of America
Citizenship: United States of America

We believe we are the original, first and joint inventors of the subject matter which is claimed and for which a patent is sought on the invention entitled Spiral Couplers, the specification of which:

☒ is attached hereto.
☐ was filed on _____
as Application Serial No.

We hereby state that we have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

We acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, § 1.56(a).

We hereby claim priority benefits under Title 35, United States Code, § 119(e) of any provisional application(s) for patent listed below and have also identified below any application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

PRIOR APPLICATION(S):

<u>Application No.</u>	<u>Date of Filing</u>	<u>Priority Claimed Under 35 U.S.C. 119(e)</u>
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POWER OF ATTORNEY

As the named inventors, we hereby appoint the following attorneys to prosecute this application and transact all business in the Patent and Trademark Office connected therewith: Drew M. Wintringham, Reg. No. 31,894, Ira J. Schaefer, Reg. No. 26,802, Francis G. Montgomery, Reg. No. 41,202, Daniel Basov, Reg. No. 42,303, Russell DeClerck, Reg. No. 46,364, and Ernest Yakob, Reg. No. 45,893.

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(212) 408-5100

We hereby declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of

Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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Inventor's signature:

Joseph McAndrew
11-8-00

Date of signature:

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Full name of inventor: Rocco A. De Lillo

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Date of signature:

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